

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problems Mailbox.**

THIS PAGE BLANK (USPTO)

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



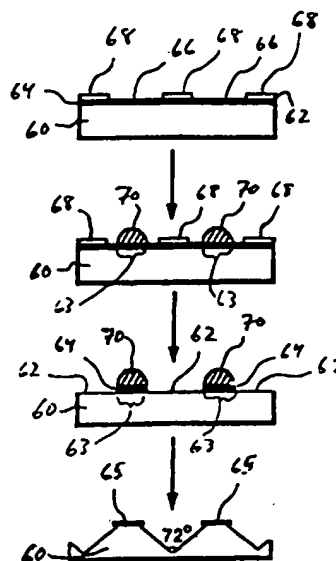
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : G03F		(11) International Publication Number: WO 96/29629
A2		(43) International Publication Date: 26 September 1996 (26.09.96)
(21) International Application Number: PCT/US96/03073		<p>(72) Inventors: WHITESIDES, George, M.; 124 Grasmere Street, Newton, MA 02158 (US). XIA, Younan; 29 Greene Street #2, Somerville, MA 02143 (US). WILBUR, James, L.; 382 Massachusetts Avenue #601, Arlington, MA 02174 (US). JACKMAN, Rebecca, J.; 49 Harrison Street #1, Somerville, MA 02143 (US). KIM, Enoch; 321 Dartmouth Street, Boston, MA 02116 (US). PRENTISS, Mara, G.; Apartment 2, 1000 Memorial Drive, Cambridge, MA 02138 (US). MRKSICH, Milan; 325 Franklin Street #201, Cambridge, MA 02138 (US). KUMAR, Amit; 353 Silverlake Court, MilPitas, CA 95035 (US). GORMAN, Chris; 5104 Laurie Drive, Raleigh, NC 27606 (US). BIEBUYCK, Hans; Mythenstrasse 8, CH-8800 Thalwil (CH). BERGGREN, Karl, K.; Apartment #2, 34 Properzi Way, Somerville, MA 02143 (US).</p> <p>(74) Agent: OYER, Timothy, J.; Wolf, Greenfield & Sacks, P.C., 600 Atlantic Avenue, Boston, MA 02210 (US).</p> <p>(81) Designated States: European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).</p> <p>Published Without international search report and to be republished upon receipt of that report.</p>
(22) International Filing Date: 1 March 1996 (01.03.96)		
(30) Priority Data:		
08/397,635	1 March 1995 (01.03.95) US	
08/485,457	7 June 1995 (07.06.95) US	
(71) Applicant: PRESIDENT AND FELLOWS OF HARVARD COLLEGE [US/US]; 124 Mount Auburn Street, Cambridge, MA 02138 (US).		

(54) Title: MICROCONTACT PRINTING ON SURFACES AND DERIVATIVE ARTICLES

(57) Abstract

Improved methods of forming a patterned self-assembled monolayer on a surface and derivative articles are provided. According to one method, an elastomeric stamp is deformed during and/or prior to using the stamp to print a self-assembled molecular monolayer on a surface. According to another method, during monolayer printing the surface is contacted with a liquid that is immiscible with the molecular monolayer-forming species to effect controlled reactive spreading of the monolayer on the surface. Methods of printing self-assembled molecular monolayers on nonplanar surfaces and derivative articles are provided, as are methods of etching surfaces patterned with self-assembled monolayers, including methods of etching silicon. Optical elements including flexible diffraction gratings, mirrors, and lenses are provided, as are methods for forming optical devices and other articles using lithographic molding. A method for controlling the shape of a liquid on the surface of an article is provided, involving applying the liquid to a self-assembled monolayer on the surface, and controlling the electrical potential of the surface.



FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

MICROCONTACT PRINTING ON SURFACES AND DERIVATIVE ARTICLES**Field of the Invention**

The present invention relates generally to derivatization and patterning of
5 surfaces and more particularly to the formation of self-assembled molecular monolayers
on surfaces using microcontact printing, derivative articles produced thereby, and novel
optical elements.

Background of the Invention

10 In fields involving microelectronic devices, sensors, and optical elements, the
development of devices that are small relative to the state of the art, controllable, and
conveniently and relatively inexpensively reproduced with a relatively low failure rate
is important.

A well-known method of production of such devices is photolithography.
15 According to this technique, a negative or positive resist (photoresist) is coated onto the
exposed surface of a material. The resist then is irradiated in a predetermined pattern,
and irradiated (positive resist) or nonirradiated (negative resist) portions of the resist are
washed from the surface to produce a predetermined pattern of resist on the surface.
This is followed by one or more procedures. For example, the resist may serve as a
20 mask in an etching process in which areas of the material not covered by resist are
chemically removed, followed by removal of resist to expose a predetermined pattern of
the conducting, insulating, or semiconducting material on the substrate. According to
another example, the patterned surface is exposed to a plating medium or to metal
deposition under vacuum, followed by removal of resist, resulting in a predetermined
25 plated pattern on the surface of the material. In addition to photolithography, x-ray and
electron-beam lithography have found analogous use.

While the above-described irradiative lithographic methods may be
advantageous in many circumstances, all require relatively sophisticated and expensive
apparatus to reproduce a particular pattern on a plurality of substrates, and are relatively
30 time-consuming. Additionally, no method of patterning nonplanar surfaces is
commonly available according to these methods. In the field of electronic circuitry, an
attempt is often made to save space by stacking planar circuit boards or chips, the

boards or chips interconnected with auxiliary contacts. Alternately, a board or chip may be bent or otherwise formed in a nonplanar manner so as to save space, auxiliary contacts connecting components on different sides of the bend. All too often these auxiliary contacts are the cause of circuitry failure, and the attempt to move from the two-dimensional domain to a three-dimensional domain fails. Irradiative lithography provides no remedy to this complication, nor does it provide a method of conveniently and inexpensively reproducing an existing microelectronic circuit pattern, or the surface morphological features of other objects of interest.

Additionally, the above-described irradiative techniques are generally not amenable to the patterning of biological species such as proteins, as they typically utilize resists and solvents that are toxic to many biological species.

A need exists in the art for a convenient, inexpensive, and reproducible method of plating or etching a surface according to a predetermined pattern. The method would ideally find use on planar or nonplanar surfaces, and would result in patterns having features in the micron and submicron domain. Additionally, the method would ideally provide for convenient reproduction of existing patterns. Additionally, a need exists for the fabrication of surfaces that can pattern portions amenable to attachment of biological species, such as antibodies, antigens, proteins, cells, etc., on the micrometer scale.

The study of self-assembled monolayers (SAMs) is an area of significant scientific research. Such monolayers are typically formed of molecules each having a functional group that selectively attaches to a particular surface, the remainder of each molecule interacting with neighboring molecules in the monolayer to form a relatively ordered array. Such SAMs have been formed on a variety of substrates including metals, silicon dioxide, gallium arsenide, and others. SAMs have been applied to surfaces in predetermined patterns in a variety of ways including simple flooding of a surface and more sophisticated methods such as irradiative patterning.

Accordingly, a general purpose of the present invention is to solve problems associated with expense, complicated apparatus, and other complications associated with patterning surfaces for electronic, chemical, biological, and optical devices. One object is to provide a method of conveniently and reproducibly producing a variety of SAM patterns on planar and nonplanar surfaces, the patterns having resolution in the

submicron domain, and being amenable to plating, etc. Another purpose of the invention is to facilitate the attachment of biomolecules on the submicron scale without loss of biological function. Another purpose of the invention is to provide a method of forming a template from an existing pattern having micron or submicron-domain features, the template conveniently reproducing the preexisting pattern.

Another general purpose of the invention is to provide optical elements and devices that are conveniently and inexpensively manufactured, and that are adaptable to a variety of systems.

10 Summary of the Invention

The present invention provides a method of etching an article that is coated with a thin layer of resist. The method involves contacting a first portion of the resist surface with a stamp to transfer to the first portion a self-assembled monolayer of a molecular species in a first pattern. The self-assembled monolayer is contiguous with an exposed portion of the resist surface in a second pattern. The resist is removed from the surface of the article, according to the second pattern, by contacting the exposed portion of the resist surface with a first etchant that reacts chemically with the resist and that is inert with respect to the self-assembled monolayer. This exposes the surface of the article in the second pattern. A second etchant is applied to the exposed surface of the article that reacts chemically with the article and that is inert with respect to the resist. According to one aspect the resist is an electrical conductor. According to another, the resist is a metal oxide, and can be an oxide of the article. The article can be a semiconductor such as silicon gallium arsenide, or the like, and can have a nonplanar surface.

According to one embodiment, the self-assembled monolayer exposes a chemical functionality in the first pattern, and prior to the removing the resist, the molecular species is coated with a protecting species that is compatible with the chemical functionality and incompatible with the first etchant.

The present invention provides also a method of etching an article involving contacting a first portion of the surface of the article with a stamp to transfer to the first portion a self-assembled monolayer of a molecular species in a first pattern, the self-assembled monolayer being contiguous with an exposed portion of the surface in a second pattern and exposing a chemical functionality. A protecting species that is

compatible with the chemical functionality is applied to the self-assembled monolayer. and the exposed portion of the surface is contacted with an etchant that reacts chemically with the resist and that is incompatible with the protecting species.

According to another embodiment, the self-assembled monolayer exposes a chemical
5 functionality, in the first pattern, that is less compatible with the protecting species than is the surface of the article that remains uncovered with the self-assembled monolayer. In this embodiment, the protecting species is positioned on the surface at regions not covered by the self-assembled monolayer and, when the etchant is applied, the etchant etches the article at regions not covered by the protecting species (including those
10 regions originally covered by the self-assembled monolayer).

The present invention provides also a method of applying to a surface of an article a self-assembled monolayer of a molecular species. The method involves coating a portion of a stamping surface of a stamp with a self-assembled monolayer-forming molecular species, and transferring from the stamping surface to a
15 first portion of the article surface the molecular species, while applying to a second portion of the article surface contiguous with the first portion a species that is not compatible with the molecular species. According to one aspect the method involves allowing the molecular species to spread evenly from the first portion of the article surface to the second portion of the article surface. According to another aspect the first
20 portion of the article surface includes at least two isolated regions separated by the second portion, and the method involves transferring the molecular species from the stamping surface to the at least two isolated regions of the first portion, while applying to a second portion the species that is not compatible with the molecular species. The molecular species is allowed to spread from each of the at least two isolated regions of
25 the first portion toward each other. The molecular species can be lipophilic and the species that is not compatible with the molecular species hydrophilic, or vice versa, and the article surface can be nonplanar. Prior to or during the transferring step the stamp can be deformed.

The present invention also provides a method involving applying to a surface of
30 an article a first region and a second region of a self-assembled monolayer, where the first and the second regions are separated from each other by an intervening region. A species that is incompatible with the molecular species that forms the self-assembled

monolayer is applied to the intervening region, and the molecular species is allowed to spread evenly from the first region toward the second region and from the second region toward the first region.

The present invention also provides a method of applying to a surface of an article a self-assembled monolayer of a molecular species. The method involves coating a portion of a stamping surface of a flexible stamp with a self-assembled monolayer-forming molecular species, deforming the stamp, and contacting at least a portion of the surface with at least a portion of the stamping surface. The stamp can be deformed by compressing it in a plane approximately parallel to the stamping surface, and/or by applying a force to it in a direction approximately perpendicular to the stamping surface.

The present invention also provides a method of making an article, involving etching a pattern into a surface of a template, and molding the article on the surface of the template. This can involve applying to the template a hardenable fluid and allowing the fluid to harden. For example, a prepolymeric fluid can be applied to the template and polymerized. According to one aspect, the hardenable fluid is a fluid precursor of an elastomer. The etching can be anisotropic etching.

The present invention also provides a method of making an article that involves providing a template having a surface anisotropically etched in a pattern, applying a hardenable fluid to the surface, and allowing the fluid to harden.

The present invention also provides a method of patterning a self-assembled monolayer on a nonplanar surface of an article. The method involves rolling the nonplanar surface of the article over a stamping surface of a stamp carrying a self-assembled monolayer-forming molecular species, thereby transferring to the nonplanar surface a self-assembled monolayer of the molecular species. According to one aspect, the rolling step involves applying to the nonplanar surface the self-assembled monolayer in a pattern, while leaving portions of the surface contiguous with the self-assembled monolayer exposed. According to one aspect the exposed portions of the surface are etched.

The present invention also provides a method of making a lens, involving providing a hardenable fluid precursor of the lens, contacting a surface of the fluid precursor with a liquid that is incompatible with the fluid precursor, and allowing the

fluid precursor to harden to form a lens. The precursor can be a prepolymeric fluid, and can be a precursor of an elastomer.

The present invention also provides a method of making an article, involving providing a supporting surface having, on a discrete isolated region, a self-assembled monolayer of a molecular species, applying to the self-assembled monolayer a fluid precursor of the article, the precursor having a surface including a first region in contact with the self-assembled monolayer and a second, exposed region contiguous with the first region, contacting the exposed region of the precursor surface with a fluid that is incompatible with the precursor fluid, and allowing the precursor to harden.

The present invention also provides a method of making a diffraction grating, involving coating a surface of a template with a hardenable, fluid diffraction grating precursor, allowing the fluid precursor to harden and form a diffraction grating, and removing the diffraction grating from the template.

The present invention also provides a method of making an optical element, involving molding an article by coating a mold having an optical surface with a hardenable fluid and allowing the fluid to harden to form an article having an optical surface that correlates to the optical surface of the mold, removing the article from the mold, and contacting the optical surface of the article with a liquid metal.

The present invention also provides articles made by the above and other methods, including an article including on its surface an isolated region of a self-assembled monolayer of a molecular species, the isolated region including a lateral dimension of less than 10 microns. Preferably, the dimension is less than 5 microns, more preferably less than 1 micron, more preferably less than 0.5 micron, more preferably less than 0.25 micron, more preferably less than 0.2 micron, more preferably less than 0.15 micron, and most preferably less than 0.1 micron. Also provided is a device including on its surface an pattern of a self-assembled monolayer of a molecular species, the pattern having a lateral dimension of less than 10 microns, or one of the other preferred dimensions above. Also provided is a device including on its surface an pattern of a self-assembled monolayer of a molecular species, the pattern including two closely-spaced regions of a single self-assembled monolayer, or closely-spaced different self-assembled monolayers, the dimension between them being less than 10 microns, or one of the other preferred dimensions above.

The present invention also provides a diffraction grating including a liquid metal having a surface that is in contact with and correlates to a surface of an article. The article surface correlates to an diffraction grating, and the liquid metal surface is formed thereby into a diffraction grating. The grating can be transparent and flexible.

5 preferably transparent and elastomeric.

The present invention also provides an optical element including an elastomer including a void having an optical convex or concave surface, and a liquid metal adjacent the convex or concave surface of the void. Preferably, the liquid metal fills the void and is encapsulated by the elastomer.

10 The present invention also provides a device including an article defining a surface, a first and a second isolated region of a self-assembled monolayer on the surface, the first and second regions separated from each other by less than 10 microns. Preferably, the separation is one of the preferred dimensions above.

The present invention also provides a device including an article defining a
15 surface, and a self-assembled monolayer on the surface, forming a pattern having a lateral dimension of less than 10 microns. Preferably, the lateral dimension is one of the preferred dimensions above.

The present invention also provides methods utilizing the above and other devices and arrangements, including a method of diffracting electromagnetic radiation.

20 The method involves directing electromagnetic radiation at a liquid metal having a surface that is a diffraction grating to cause diffraction of the electromagnetic radiation, and allowing diffracted electromagnetic radiation to reflect from the surface. The surface of the liquid metal that is a diffraction grating can be deformed (elongated or stretched, bent, compressed, e.g.) to adjust the pattern of diffraction of the
25 electromagnetic radiation.

The present invention also provides a method of focusing electromagnetic radiation, involving directing electromagnetic radiation at a liquid metal having a concave surface, and allowing the electromagnetic radiation to reflect from the surface.

The present invention also provides a method of controlling the shape of a
30 liquid, involving providing a supporting, electrically-conductive surface having, on a discrete isolated region, a self-assembled monolayer of a molecular species exposing a chemical functionality that is compatible with the liquid, positioning the liquid on the

self-assembled monolayer of the molecular species, surrounding the liquid with a fluid electrolyte that is not compatible with the liquid, and adjusting an electrical potential of the electrically-conductive surface to control the shape of the liquid.

The present invention also provides a method of printing a self-assembled
5 monolayer on a surface of an article, involving rolling over the surface of the article a nonplanar stamping surface of a stamp carrying a self-assembled monolayer-forming molecular species.

Other advantages, novel features and objects of the invention will become
apparent from the following detailed description of the invention when considered in
10 conjunction with the accompanying drawings.

Brief Description of the Drawings

Figs. 1a-f illustrate microprinting of a self-assembled molecular monolayer on a surface, utilizing controlled reactive spreading in accordance with the invention:

15 Figs. 2a-e illustrate silicon surfaces selectively patterned with gold using controlled reactive spreading in conjunction with microprinting in accordance with the invention;

Figs. 3a-c illustrate deformation of a microprinting stamp to achieve patterned self-assembled molecular monolayers with small features in accordance with the
20 invention;

Figs. 4a-d illustrate silicon surfaces selectively patterned with gold via mechanical deformation of a stamp in accordance with the invention;

Figs. 5a-c illustrate a method of applying a patterned self-assembled molecular monolayer to a nonplanar surface in accordance with the invention:

25 Figs. 6a-c illustrate nonplanar surfaces derivatized using the technique illustrated in Figs. 5a-c;

Fig. 7 illustrates an optical fiber having an exterior surface patterned with a self-assembled molecular monolayer according to the invention:

Figs. 8a-e illustrate a method of etching an article according to the invention:

30 Figs. 9a-f illustrate a method involving lithographic molding of an article in accordance with the invention;

Fig. 10 is an atomic force microscope image of an article lithographically

molded in accordance with the invention;

Figs. 11a-f illustrate methods of forming flexible diffraction gratings in accordance with the invention, and a diffraction grating formed thereby;

5 Figs. 12a-d illustrate a method of forming a flexible lens in accordance with the invention, and a lens formed thereby;

Fig. 13 illustrates a method for forming an optical element in accordance with the invention;

Fig. 14 illustrates apparatus for controlling the shape of a fluid on a surface in accordance with the invention;

10 Figs. 15a and 15b illustrate apparatus for controlling the shape of a fluid on two surfaces in accordance with the invention; and

Figs. 16a-e illustrate a method of etching an article in accordance with one embodiment of the invention.

15 Detailed Description of the Invention

Commonly-owned U.S. Patent Application Serial No. 08/131,841, filed October 4, 1993 by Kumar et al. and entitled "Formation of Microstamped Patterns on Surfaces and Derivative Articles", the entire content of which is incorporated herein by reference for all purposes, describes stamping, or microprinting, self-assembled monolayers onto
20 surfaces, etching and plating such surfaces, assembling isolated regions of self-assembled monolayers exposing a particular chemical functionality, optionally surrounded by a self-assembled monolayer exposing a different functionality, and derivative articles.

Referring to Figs. 1a-d, a method for applying a self-assembled monolayer of a
25 molecular species to a surface that involves controlled, reactive spreading of the species on the surface is illustrated schematically. The method results in two or more self-assembled molecular monolayers, or two or more regions of a single self-assembled molecular monolayer, that are very closely spaced on the surface. At Fig. 1a, a stamp 20 is illustrated having a surface 22 including a plurality of
30 indentations 24 formed therein that form an indentation pattern. The indentations are contiguous with a stamping surface 26 that defines a stamping pattern. The stamping pattern includes closely-spaced features, that is, the indentations are closely-spaced and

this results in the presence of closely-spaced protrusions. the outward-facing surfaces of which define the stamping surface 26.

Prior to microprinting, stamping surface 26, typically the entire surface 22 of the stamp, is coated with a molecular species 27. Molecular species 27 terminates in a functional group selected to bind to a particular material, and to form an ordered self-assembled monolayer thereupon. In the embodiment illustrated in Figs. 1a-f, species 27 terminates in a functional group selected to bind to a surface 28 of an article 30, and to form a self-assembled monolayer on surface 28. A wide variety of species suitable as species 27 and surfaces suitable as surface 28, that is, species that terminate in a variety of functional groups, and surfaces to which they chemisorb, are described in Application Serial No. 08/131,841.

Referring to Fig. 1b, stamp 20 is placed, in a predetermined orientation, adjacent to article 30 such that stamping surface 26 contacts article surface 28. Prior to, during, or shortly after stamping surface 26 is brought into contact with surface 28, a species 32 is applied to portions of surface 28 of article 30 that are not contacted by stamping surface 26. That is, surface 28 of article 30 includes at least a first portion 34 to which the self-assembled molecular species is transferred from the stamping surface, and at least a second portion 36, contiguous with first portion 34, to which is applied species 32.

Species 32 is incompatible with self-assembled monolayer-forming molecular species 27. As used herein, "compatible" is used to define species that have at least some mutual attraction, or at least are not mutually repulsive. For example, two polar species are compatible, and two nonpolar species are compatible. Miscible liquids, for example two different aqueous solutions, are compatible species. "Incompatible" is used herein to define species that are mutually repulsive to the extent that they are not miscible. For example, most organic liquids are incompatible with most aqueous solutions. Incompatible liquids will coexist separated by a phase boundary. An etchant that is inert with respect to a species such as a self-assembled monolayer is often inert with respect to the species since it is incompatible with the species. One of ordinary skill in the art can readily select such incompatible species, and a test to determine the compatibility or incompatibility of such species is routine to one of ordinary skill in the art. Species 32 is selected as one that does not chemisorb to surface 28. Thus, species

32 has a lesser affinity for surface 28 than does self-assembled monolayer-forming molecular species 27.

Typically, species 27 is a hydrophobic liquid or is carried in a hydrophobic liquid, as described in the above-referenced Application Serial No. 08/131,841. Species 27 also can be a hydrophilic liquid, or carried in a hydrophilic liquid. When species 27 (or a liquid in which species 27 is dissolved) is hydrophobic, species 32 is selected to be hydrophilic. When species 27 is hydrophilic, species 32 is selected to be hydrophobic. It is important only that species 27 and species 32 are incompatible.

For example, species 27 can include a hydrophobic long-chain alkyl group that terminates in a functional group that spontaneously chemisorbs to surface 28, and species 32 can be water or an aqueous solution. Alternatively, species 27 can be a long-chain alkyl group including sufficient heteroatoms to make species 27 hydrophilic and species 32 in that case can be a liquid that is sufficiently hydrophobic to be incompatible with species 27.

Referring now to Fig. 1c, The method involves allowing stamping surface 26 to remain in contact with surface 28 for a period of time sufficient to allow molecular species 27 to spread from portion 34 of surface 28 onto portion 36 of the surface. This occurs as species 27, transferred from stamping surface 26, has a greater affinity for surface 28 of article 20 than does species 32.

Stamp 20 is maintained in contact with article 30 for a period of time sufficient to allow species 27 to spread over surface 28 to a desired extent. The extent of spreading is, typically, approximately proportional to the time of contact between stamp 20 and article 30. Stamp 20 is advantageously allowed to contact article 30 for a period of time sufficient to allow species 27 to spread from each portion of stamping surface 26 toward an adjacent portion. In this way, species 27 is allowed to spread across surface 28 to form an increasingly narrow gap 38 (Fig. 1d) on surface 28. Gap 38 on surface 28 thus is not coated with species 27.

The presence of incompatible species 32 applied to portions of surface 28 that are not coated with species 27 facilitates the spreading of species 27 over surface 28 in a smooth, well-defined manner. That is, species 27 does not spontaneously chemisorb at random, isolated portions 36 of surface 28 between adjacent regions of stamping surface 26 via vapor transport or the like. The method of the invention results in very

well-defined, very closely-spaced regions of species 27 on surface 28.

In Fig. 1d, stamp 20 has been removed from article 30, and a scanning electron micrograph of the surface of article 30 that has been patterned according to the embodiment illustrated is shown in Fig. 1e. In the embodiment illustrated, dimension
5 40, the width of indentations 24 between protrusions of stamp 20 that define stamping surface 26, is 3 microns, while the dimension of gap 38 between adjacent regions of self-assembled monolayer 27 that have spread toward each other is 0.1 micron.

Fig. 1e is a scanning electron micrograph of a silicon article 30, coated with a thin layer of gold, upon the surface of which species 27 has been allowed to spread in
10 accordance with the method illustrated in Figs. 1a-d. After removal of stamp 20, an etchant is applied to the surface. The etchant is not compatible with species 27, and species 27 is therefore undisturbed by the etchant. The etchant contacts surface 28 of the thin gold layer on silicon article 30 (not shown in Figs. 1a-d) via gap 38, and dissolves the gold layer at gap 38. The result is illustrated in Fig. 1e, and an atomic
15 force microscope image is shown in Fig. 1f. Following removal of the self-assembled monolayer of species 27, a thin gold layer that has been etched at gap 38, to form adjacent regions of gold on a silicon surface separated by approximately 0.100 micron, results.

Figs. 1a-d illustrate an end view of a stamp 20 that includes a plurality of
20 elongated, linear ridges 26, separated by recessed portions of the stamp. When such a stamp is brought into contact with a surface of an article in a first orientation, followed by removal of the stamp, rotation of the stamp through 90° relative to the surface of the article, and reapplication of the stamp to the surface, a grid pattern of species 27 on surface 28 results. During each application of the stamping surface to the surface of the
25 article, the stamp can be held in contact with the stamping surface for varying periods of time, resulting in a grid of lines of molecular species 27 of varying width. Scanning electron micrographs of such a procedure are shown in Figs. 2a-e. The surfaces shown in Figs 2a-e were derivatized by covering a silicon article with thin layer of gold, applying species 27 to the gold surface via microprinting with a microstamp, and
30 contacting exposed portions of the gold surface (those portions not covered by the self-assembled monolayer) with an etchant that is not compatible with species 27 and that dissolves gold. The etchant is thus inert with respect to species 27. For each of

Figs. 2a-e. stamp 20 was applied to the surface in a first orientation for a first period of time ("1st printing"), and applied to the surface in a second orientation rotated 90° from the first orientation for a second period of time ("2nd printing"). The time that stamping surface 26 was allowed to contact surface 28. for each printing step. is listed beside each figure. Control of the length and width of exposed portions of silicon results. Dark portions 41 are exposed regions of silicon following etch. and light regions 42 are the self-assembled monolayer on the gold surface. The procedures described with respect to Figs. 1 and 2 are described in greater detail below in Example 1.

Referring now to Figs. 3a-c. a method for applying a self-assembled monolayer of a molecular species to a surface of an article according to another embodiment of the invention is illustrated, in which a stamp is deformed prior to and/or during transfer of the species to the surface. Fig. 3a illustrates, schematically, an end view of a portion of a stamp 20 including a surface 22 that includes a stamping surface 26 separated by indentations 24. Stamp 20 is deformed prior to and/or during transfer of a self-assembled monolayer-forming molecular species 27, which coats stamping surface 26 (typically coating the entire surface 22 of stamp 20), to surface 28 of article 30. When stamp 20 is deformed prior to transfer, it generally is compressed by applying to the stamp forces parallel to the stamping surface. That is, with reference to Fig. 3b, force is applied to the stamp in the direction of arrows 44. This results in reduction in the dimension of individual portions of stamping surface 26 along the direction of the application of force, as well as reduction of the dimension of indentations 24 in the same direction. That is, features of stamping surface 26 are reduced in size, and in spacing from each other, in the direction parallel to the application of force.

When stamping surface 26 (generally the entire surface 22) of stamp 20 is coated with molecular species 27 (not illustrated in Fig. 3b) and allowed to contact surface 28 of article 30, a self-assembled monolayer is formed on surface 28 that includes regions having lateral dimensions that correspond approximately to the dimension of features of stamping surface 26. Thus, when stamp 20 is deformed as illustrated in Fig. 3b, self-assembled monolayers, or a self-assembled monolayer having separate regions, is formed on a surface with dimensions smaller than those that would be achieved typically when the stamp is not deformed. Referring to Fig. 3c, stamp 20 is

illustrated with stamping surface 26 in contact with surface 28 of article 30, where the stamp has been deformed by applying a force perpendicular to stamping surface 26, that is, perpendicular to surface 28 of article 30. This perpendicular force is represented by arrow 46, and can be applied independently of, or in combination with, a compressive force on the stamp that is parallel to the stamping surface and that is represented by arrows 44. Application of a compressive force represented by arrows 44 and/or a perpendicular force represented by arrow 46 will result in reduced feature sizes of species 27 on surface 28. When a force perpendicular to stamping surface 26 is applied, as represented by arrow 46, gap 38 between regions of species 27 on surface 28 is smaller than the dimension of indentations 24 between regions of stamping surface 26. Thus, the stamp is deformed as illustrated in Fig. 3c where the distance between adjacent regions of stamping surface 26 is lessened.

Although only compressive forces are described with respect to deformation of stamp 20 during microprinting, stamp 20 can be deformed by stretching, as well.

Referring now to Figs. 4a-c, scanning electron micrographs showing surfaces derivatized via microstamp deformation are shown. The figures represent printing using a microstamp deformed by compressing the stamp by applying force parallel to the stamping surface (arrows 44 of Fig. 3b), independently along perpendicular axes. A silicon article covered with a thin layer of gold was provided, with a self-assembled monolayer applied to the gold surface. Gold was etched from regions to which the monolayer was not applied. In Figs. 4a-c, light regions 48 represent the self-assembled monolayer on the thin gold film on silicon, and regions 50 represent exposed silicon surface from which gold has been etched. A stamp used to apply a self-assembled monolayer to the gold surface was fabricated generally as described below in example 1, and included a surface including indentations represented by regions 50, defining a stamping surface represented by regions 48. Fig. 4a illustrates a surface to which a self-assembled molecular monolayer was applied from the stamp without deformation of the stamp, followed by etching of gold from regions to which the self-assembled monolayer was not applied. In Fig. 4b, the stamp was compressed along an axis parallel to the stamping surface, by applying force as illustrated by arrows 52. In Fig. 4c, the stamp was compressed along an axis parallel to the stamping surface, by applying force in the direction of arrows 54, perpendicular to the compressive force

applied in Fig. 4b. In Fig. 4d, the stamp was compressed along two perpendicular axes, both axes being parallel to the stamping surface, by applying force as illustrated by arrows 52 and 54.

Mechanical deformation of a stamp as described above and illustrated in Figs. 3a-c and 4a-d can be employed in conjunction with controlled reactive spreading of molecular species as described above and illustrated in Figs. 1a-f and 2a-e. That is, a stamp can be deformed, and held in contact with a surface to transfer a self-assembled monolayer thereto, while applying, to regions of the surface not contacted by the stamping surface, a species that is not compatible with the molecular species that forms the self-assembled monolayer. This procedure, as described above, advantageously includes allowing the stamp to remain in contact with the surface for a period of time sufficient to allow the molecular species to spread accordingly.

All of the embodiments of the invention described thus far can be used in conjunction with methods of etching and/or plating a surface as described below, and as described in U.S. Application Serial No. 08/131,841, referenced above.

Referring now to Figs. 5a-c, a method of transferring a patterned, self-assembled monolayer to a nonplanar surface is illustrated schematically. As used herein, the term "nonplanar" is meant to define a surface, at least a portion of which has a radius of curvature. The method that is illustrated involves rolling a nonplanar surface, that is, the surface of a nonplanar article, over a stamping surface of a stamp carrying a self-assembled monolayer-forming molecular species. The surface that is rolled over the stamp can be that of a cylindrical article, a spherical article, or any other article having a nonplanar surface. Stamp 20 in this embodiment is flat, that is, stamping surface 26 defines portions of a plane. In other embodiments (not illustrated), the stamp is nonplanar, that is, formed such that the stamping surface does not define a plane, or is a flexible, planar stamp that is deformed so as to be nonplanar.

Referring to Fig. 5a, an article 30 has a nonplanar surface 28. According to the embodiment illustrated, article 30 is silicon dioxide, coated with a thin layer of titanium (not shown), which is coated with a thin layer of 31 of gold. Stamping surface 26 of stamp 20, and generally the entire surface 22 of stamp 20 is coated with a self-assembled monolayer forming molecular species 27. Article 30 is caused to roll over stamping surface 26, for example, by placing article 30 between stamping surface

26 and a member 56, moving stamp 20 and member 56 relative to each other along parallel planes in opposite directions, to cause the article to roll over the stamping surface. Member 56 can be made of any material that will not disrupt or contaminate surface 28, and preferably a material that will not disrupt a self-assembled monolayer
5 formed on surface 28. Member 56 can be made of the same material from which stamp 20 is made.

As used herein, the term "roll" refers to action in which a first surface is maintained in constant contact with a second surface (for example, surface 28 of article 30 and stamping surface 26, respectively), but only a portion of each surface is in
10 contact with only a portion of the other surface at any given time, and the portion of each surface that is in contact with the portion of the other surface changes continuously.

Referring now to Fig. 5b, article 30 is illustrated after having been rotated through approximately 260° of rotation on stamping surface 26. As a result, individual,
15 isolated regions of a self-assembled monolayer of molecular species 27 are formed on surface 28 of article 30 around approximately 260° of the surface, corresponding to individual regions of stamping surface 26.

When this article is subject to a cyanide etch, as described above and described below in Example 2, gold that is not protected by molecular species 27 is removed, as
20 illustrated in Fig. 5c, exposing the thin layer of titanium (titanium dioxide) at regions not covered by the self-assembled monolayer. A nonplanar article, such as that illustrated in Figs. 5a-c, can be etched in accordance with embodiments of the invention described in Application Serial No. 08/131,841, and described below.

Referring now to Figs. 6a-c, nonplanar articles covered by a thin layer of gold,
25 to which patterned, self-assembled monolayers have been applied, followed by etching of gold from the surface at regions not protected by the self-assembled monolayer, are illustrated. In each case, the nonplanar article was rolled across a substantially planar stamping surface including a stamping pattern (pattern formed by stamping surface 26). The stamping pattern includes features similar to those found in typical electronic
30 circuitry. Gold was etched as described above and described below in Example 2.

Fig. 6a illustrates a 1 mm diameter glass capillary coated with a thin layer of gold, which was printed according to the pattern illustrated, followed by removal of

gold from the areas not covered by a self-assembled monolayer. Figs. 6b and 6c show views, at different magnification, of a lens having a 5 cm radius of curvature, coated with a thin film of gold, microprinted and etched as described herein. In Figs. 6a-c, light regions are gold covered with a self-assembled monolayer, and dark regions are glass.

Fig. 7 illustrates an etched optical fiber (glass). The fiber was coated with approximately 200 angstroms of titanium, which is coated with approximately 200-300 angstroms of gold. The exterior surface of the fiber was microstamped with hexadecanethiol, which served as a resist in a subsequent etch. The etch step removed the gold layer not covered by the self-assembled monolayer, and the light regions represent a self-assembled monolayer of hexadecanethiol on gold, while the dark regions represent the exterior surface of the optical fiber covered with titanium.

In some instances, when it is desirable to use a self-assembled monolayer as a resist in an etching process, the self-assembled monolayer may not effectively resist the etchant. The present invention provides, for such a situation, a protecting species positioned on a self-assembled monolayer. The protecting species is inert with respect to the etchant by, for example, being incompatible with the etchant. The protecting species is compatible with the exposed functionality of the monolayer. For example, silicon coated with a thin, thermally-formed layer of silicon dioxide, is conveniently etched using hydrofluoric acid/ammonium fluoride. Then, silicon dioxide that has not been etched can serve as a resist for the etching of silicon and, for example, potassium hydroxide/isopropanol. However, many self-assembled molecular monolayers will not withstand (are not inert with respect to) the etchant used to remove silicon dioxide. The present invention provides a protecting species that will resist the etch. Many articles have a native layer of oxide. For example, silicon has a native layer of oxide that is approximately 5 nanometers thick. Generally, such a native layer will not serve as an effected resist. A thermally-formed oxide layer will generally have a thickness of approximately 0.2 microns. Articles having thermally formed layers of oxide thereon are readily available commercially. For example, silicon including a thermally-formed layer of silicon dioxide thereon is available from Silicon Sense Corporation, Nashua, New Hampshire.

Referring to Figs. 8a-e, a process for etching silicon in accordance with the

present invention is illustrated. Figs. 8a-e are schematic illustrations of the process, and Fig. 8e is a scanning electron micrograph of a silicon surface etched according to the method.

Referring to Fig. 8a, a silicon article 60 includes, on its surface 62, a thermally-formed layer 64 of silicon dioxide, having a surface 66. A self-assembled monolayer 68 (for example alkylsiloxane) is patterned onto the surface 66, using any method described herein or other method known to those of skill in the art. Referring to Fig. 8b, molecular species 68 is coated with a protecting species 70 that is compatible with the molecular species. That is, molecular species 68 exposes a chemical functionality that is compatible with the protecting species. If the chemical functionality exposed by molecular species 68 is significantly polar, protecting species 70 should be significantly polar. Protecting species 70 can be a liquid, and can be a polymerizable species that is polymerized prior to application of the etchant.

Referring to Fig. 8b, following application of protecting species 70 to molecular species 68, a first etchant (for example $\text{HF}/\text{NH}_4\text{F}$, not shown) is brought into contact with exposed portions of surface 66, whereupon the portions of silicon dioxide resist layer 64 that are not covered by self-assembled monolayer 68 and protecting species 70 are dissolved, exposing corresponding portions of surface 62 of silicon. Subsequently, a silicon etch (for example, $\text{KOH}/\text{I-PrOH}$) is added, and the silicon dioxide that had been covered by the self-assembled monolayer and protecting species 70 acts as a resist for a silicon etch.

Referring to Figs. 8a-d, according to one embodiment an etchant is used that is destructive of a self-assembled monolayer, and in this embodiment a protecting species is advantageously used. According to another embodiment, a resist is used that can be etched with an etchant that does not destroy a self-assembled monolayer, and in this embodiment the protecting species need not be used. An example of the latter embodiment involves etching a silicon article coated with a thin film of gold. The gold in that embodiment defines a resist having a surface, to which can be transferred a self-assembled monolayer in a pattern, the self-assembled monolayer being contiguous with exposed portions of the gold surface in a second pattern. The surface of the article (the surface of silicon) can be exposed by removing gold from the surface (according to the second pattern) by contacting the exposed portions of gold with an species that

reacts chemically with gold and that is inert with respect to the self-assembled monolayer. This exposes the surface of the article, according to the second pattern. Then, the exposed surface of the article can be etched, if the etchant is inert with respect to the resist (gold).

5 In an alternative method, a self-assembled monolayer can be applied to the surface according to a first pattern, exposing a first functionality. The remaining portions of the surface are filled in with a self-assembled monolayer of a second species exposing a second chemical functionality. A protecting species that is compatible with the second chemical functionality is applied to the surface, and adheres to the
10 self-assembled monolayer of the second species, protecting a pattern on the surface that is complementary to the first pattern, that is, protecting regions of the surface that do not include the first pattern.

a variety of materials can be deposited on the surface of the article to serve as a resist. For example, thin layers of metal such as gold, silver, copper, nickel, cadmium
15 zinc, palladium, platinum, iron, chromium, alloys of these and the like can be deposited by those of skill in the art. Etchants that will dissolve resist such as these should be selected to oxidize atoms from the surface, and to include ligands, such as chelating or coordinating ligands, that will dissolve the oxidized atoms removed from the surface. Etchants or such resists are known to those in the art, and include aqueous etches such
20 as ferricyanide etch, thiosulfate etch, thiourea etch, and the like. Often an adhesive layer is advantageously placed upon the surface of the article prior to application of the resist layer. The adhesive layer generally will be at least ten angstroms thick, and may be much thicker. The thickness of the resist layer, if it is a metal such as gold, is generally approximately 1000 angstroms. However, the resist can be from about 50
25 angstroms to many thousands of angstroms, depending upon the application.

Many etchants will not attack a self-assembled monolayer on a surface, thus the self-assembled monolayer can be printed on such a surface, the resist can be etched from regions where the self-assembled monolayer does not lie, and the article can be etched at regions where the resist has been removed. Some etchants, however, that are
30 particularly reactive, for example, aqua regia, carbon tetrafluoride, potassium iodide-iodine and the like, may harm self-assembled monolayers in some circumstances. Etchants that are particularly destructive of self-assembled monolayers

are useful, in some circumstances, to etch certain resists from the surface of articles. For example, etching silicon dioxide from the surface of silicon requires etchants that generally at least partially destroy self-assembled monolayers.

According to one embodiment, protecting species 70 is applied to
5 self-assembled monolayer 68 in the following manner. A bath of a fluid that is incompatible with the exposed functionality of self-assembled monolayer 68 is prepared, including a layer of fluid protecting species (or protecting species precursor) over the incompatible fluid. The article illustrated in Fig. 8a is passed through the region of the protecting species, which adheres to self-assembled monolayer 68, and
10 passed into the fluid that is incompatible with the self-assembled monolayer. Where the protecting species is a fluid that is hardened, for example via polymerization, polymerization may take place in the bath, or the article may be removed from the bath prior to polymerization.

The invention also provides a method for making an article, which utilizes any
15 of the above-described, or known, methods for etching a surface. The etched surface serves as a template for the article according to this method of the invention. The method involves etching a pattern into a surface of a template and molding an article on the surface of the template. An article, formed by molding on the surface of a template, results. An example of such a process is illustrated schematically in Figs. 9a-f. Fig. 9a
20 illustrates a silicon article 60 including a surface 62 coated with a layer of resist 64 having a surface 66. In the embodiment illustrated, the resist is a thin layer of gold over titanium (100/1000 angstroms). A self-assembled monolayer-forming molecular species 27 is applied to surface 22 (in particular, stamping surface 26) of a stamp 20. Referring to Fig. 9b, a self-assembled monolayer of molecular species 27 is thereby
25 transferred to surface 66 of resist 64 in a pattern corresponding to the pattern of stamping surface 26 of stamp 20. Self-assembled monolayer 27 can be applied to surface 66 according to methods described above and illustrated with reference to Figs. 1a-5c. An etchant that is chemically reactive with a resist and that is inert with respect to the self-assembled monolayer is brought into contact with exposed portions of the
30 resist surface (portions not covered by self-assembled monolayer 27). The resist is etched, exposing portions of surface 62 of article 60 (Fig. 9c). Subsequently, article 60 is etched by applying to the exposed portions of surface 62 a second etchant that reacts

chemically with article 60 and that is inert with respect to resist 64. The result, as illustrated in Fig. 9d, is a pattern etched into article 60, which pattern corresponds approximately to a pattern of gaps between closely-spaced regions of self-assembled monolayer 27 (Fig 9a). Removal of resist 64 and self-assembled monolayer of molecular species 27 (if it has not yet been removed) results in a patterned article 60 that is useful for many purposes. One useful purpose, in accordance with the invention, involves using the etched surface of article 60 as a template for fabrication of an article. In Fig. 9e, an article has been molded onto surface 62 of article 60. In particular, a hardenable fluid 72, such as a prepolymeric solution, is applied to surface 62 of article 60 and hardened (polymerized, for example), to form article 74. Article 74 then is removed from surface 62 of article 60, and as illustrated in Fig. 9f includes a surface 76 that corresponds to surface 62 of article 60. Article 74 can be a stamp used for microprinting, as described above. Alternatively, article 74 can be used as an optical element as described below and as described in U.S. Application Serial No. 08/131,841, referenced above.

An advantage to forming article 74 from a substrate etched lithographically as illustrated in Figs. 9a-f, from a self-assembled monolayer applied by a microstamp, is that the surface of template 60, if it is an oriented crystal, can be etched to form grooves or pits that form an approximate ridge or point at their deepest portions. The method preferably uses a template that can be etched anisotropically. Generally, any material that forms an oriented crystal can be etched anisotropically using etchants available to those of skill in the art. Such a material can be etched such that a feature etched into its surface has a dimension much smaller than the dimension of the region at the surface of the material to which etchant is applied. For example, silicon is etched anisotropically resulting in grooves that become narrower with depth. An article molded on such a template will include protrusions that decrease in size in a direction away from the center of the article.

As discussed, according to one embodiment a thin film of a resist, such as gold, coats the surface of the article that is etched. According to another embodiment, the article includes an oxide layer on its surface that can serve as a resist. For example, silicon, titanium, zirconium, germanium, aluminum, copper, and other articles known to those of skill in the art would include a surface layer of oxide that can serve as a resist.

or a layer of oxide that can serve as a resist can be grown at the surface by, for example, heating the article in the presence of oxygen. Other articles that can be used in accordance with the invention and that can be coated conveniently with a thin layer of resist are semiconductors including gallium arsenide, indium phosphide, cesium chloride, diamond and the like. These and other materials known to those of skill in the art form oriented crystals that can be etched anisotropically. The articles discussed above including layers of oxide can define articles including resist layers, as described above with reference to Figs. 8a-d.

Fig. 10 is an atomic force microscope image of a polydimethyl siloxane (PDMS) article formed according to the method illustrated with reference to Figs. 9a-f. According to embodiments in which the article is a microstamp, stamping surface 26 include features having a lateral dimension of approximately 0.100 micron or less. Similar articles can be fabricated including, rather than linear ridges defining stamping surface 26 as illustrated in Fig. 10, small, isolated projections that define stamping surface 26, which projections approximate a point. The projections can be in, for example, an ordered array.

According to another embodiment of the invention a method is provided for simultaneously writing, on a surface of an article, at least two self-assembled monolayer patterns. The method involves coating a stamping surface of a microstamp with a self-assembled monolayer-forming species, contacting an article surface with the stamping surface, and moving the stamping surface relative to the article surface to write on the surface. For example, a stamp that includes a plurality of protrusions that, at their terminus (stamping surface), approximate points can be used to write, simultaneously, a plurality of lines on an article surface. Such lines can define a pattern that forms the basis of a corresponding electronic circuit, an etched region, or other feature as described in accordance with the invention or apparent to those of ordinary skill in the art. A stamp with such features can be obtained conveniently by patterning a surface of an article that can be anisotropically etched with resist, forming on the surface of the resist a self-assembled monolayer in a grid pattern while leaving isolated regions of the surface of the resist free of self assembled monolayer, etching the resist that is not covered by the self-assembled monolayer, and etching the surface of the article anisotropically to form a plurality of indentations that become narrower with

depth-until they approximate a plurality of points. This serves as a template for a stamp that includes a plurality of protrusions that terminate in approximate points at their stamping surface (or writing surface).

As used herein, the term "writing" is given its ordinary meaning. In the context of a self-assembled monolayer, this means forming a pattern of a self-assembled monolayer on a surface not instantaneously, but progressively, in a manner analogous to the way ink is applied to paper when writing with a pen. In this way, a method is provided that involves writing on a surface of an article simultaneously at least two separate lines of a self-assembled monolayer-forming species.

According to embodiments of the present invention in which a self-assembled monolayer is formed on silicon dioxide or glass, advantage can be achieved in aspects of the invention that involve etching, and aspects that involve preparation of surfaces of interest in biological arenas. In aspects that involve etching, when silicon dioxide is used as a resist layer on silicon, advantage in the etching of silicon is achieved as discussed above. Additionally, bulk silicon dioxide or glass can be etched by patterning a self-assembled monolayer of alkylsiloxane, such as octadecylsiloxane, on a surface thereof, optionally coating the self-assembled monolayer with a protecting species, and contacting the surface with an etchant that etches the silicon dioxide or glass.

According to aspects of the invention involving the preparation of surfaces of biological interest, a surface such as a silicon dioxide or glass slide or petri dish can be patterned with a self-assembled monolayer of alkylsiloxane that exposes a chemical functionality of biological interest, facilitating studies at that surface. For example, a self-assembled monolayer of alkylsiloxane terminating in a cytophilic functionality can be patterned onto a silicon dioxide or glass surface in accordance with the invention, followed by adherence or immobilization of a cell or cells to the cytophilic functionality. Biological, specifically cytophilic, functionalities in association with self-assembled monolayers are described in copending, commonly-owned application serial no. 08/131,841 and in an article entitled "Engineering Cell Shape and Function", by Singhvi, R., et al. *Science*, 264, 696 (1994), both incorporated herein by reference. This article describes the formation of islands of self-assembled monolayer terminating in a non-polar functionality ($-CH_3$) surrounded by regions of polyethylene glycol-terminating self-assembled monolayer. The non-polar terminal functionality of

the islands is protein adherent, while the polyethylene glycol functionality is not protein-adherent. Exposure of a surface derivatized as such to a protein matrix such as the purified extracellular matrix protein laminin results in cytophilic, protein-coated islands surrounded by cytophobic regions. Exposure of this surface to cells results in cell attachment preferentially to the adhesive (cytophilic), laminin-coated islands and the cells are prevented from extending onto surrounding non-adhesive (cytophobic) regions. Alternatively, a surface derivatized as described above with a patterned, self-assembled monolayer terminating in non-polar, such as methyl, functionality can be contacted with a cell or cells without first treating the surface with a protein matrix such as laminin. According to some embodiments, the cell or cells will secrete a protein matrix such as laminin that will coat the exposed non-polar functionality, and the cell or cells then will adhere thereto.

A variety of cell studies can thereby be conducted that involve immobilization of a cell or cells on one or more islands. Procedures involving cell growth, differentiation, culturing, and the like can be tailored by varying the size and/or shape of islands, spacing between islands, and the like. Those of ordinary skill in the art will appreciate that alkylsiloxane-based self-assembled monolayers on glass or silicon dioxide can be prepared that terminate in a variety of chemical or biochemical functionalities amenable to attachment of biological species such as antibodies, antigens, haptens, proteins, sugar and other carbohydrates, etc., prepared in accordance with methods of patterning described herein. Functionalities such as chelates, etc. as described in commonly-owned, copending United States patent application no. 08/312,388 (Bamdad, et al.), incorporated herein by reference, can be provided at the exposed end of molecules making up self-assembled monolayers patterned on glass or silicon dioxide as well.

According to some embodiments of the invention the above-described techniques involving patterning glass or silicon dioxide can be applied to other polar surfaces including polar polymeric surfaces such as oxidized polyethylene or polystyrene. According to these embodiments alkylsiloxane can be patterned onto a polymeric surface oxidized for example via plasma etch to expose polar functionalities such as hydroxyl, carboxylic acid, aldehyde, or the like. These surfaces can form the basis for all embodiments of the invention described herein, including the

biological aspects described above.

Patterning of alkylsiloxane onto glass, silicon dioxide, or polar polymeric surfaces can be carried out according to chemistry known to those of skill in the art. For example, trichlorosilane or trialkoxysilane such as triethoxysilane, when applied to
5 these surfaces in essentially any solvent in which they can be carried (such as water, hexane, toluene, and the like) will form alkylsiloxane on that surface.

The techniques described above involving patterning of alkylsiloxane on glass, silicon dioxide, or polar polymeric surfaces can be carried out on planar or non-planar surfaces, as described herein, and can be combined with techniques described herein
10 involving allowing alkylsiloxane or its precursor to spread onto the surface while contacting the surface simultaneously with a species that is not compatible with the alkylsiloxane or precursor, and involving deforming a stamp from which the alkylsiloxane or precursor is transferred, or both.

The present invention also provides a variety of optical elements and devices.
15 One such element is a diffraction grating that can be formed as described above with respect to article 74 (Fig. 9f) or as described in U.S. Application Serial No. 08/131,841, referenced above, in which a variety of optical elements including an optical switch are described. According to a preferred embodiment of the invention, a reflective liquid, such as a liquid metal, is brought into contact with a surface that correlates to a surface
20 of an optical element, and a surface of the liquid metal is thereby formed into an optical surface. A method for making such an article is illustrated schematically in Figs. 11a-f. In Fig. 11a, a template 78, for example a commercial diffraction grating, is provided. Template 78 can be mounted on a support 80, for example a glass slide. At Fig. 11b, a fluid precursor 82 is brought into contact with optical surface 79 of template 78. In the
25 embodiment illustrated, fluid precursor 82 is a prepolymeric solution of polydimethylsiloxane, and is contained in a container 84, for example a petri dish. Fluid precursor 82 is allowed to harden in contact with the optical surface 79. Where precursor 82 is a prepolymer fluid, it is polymerized. When hardened, an article 86 that results from hardening of the fluid precursor is removed from template 78 (Fig. 11c),
30 and can be removed from container 84 (Fig. 11d; alternatively, article 86 can remain in container 84 during application of a liquid metal to surface 88, and optional encapsulation, discussed below with reference to Figs. 11e and f). The resultant article

86 (Fig. 11d) has an optical surface 88 that corresponds to optical surface 79 of the template. Article 86 is preferably transparent, and is a transparent elastomeric diffraction grating according to a preferred embodiment.

According to a preferred embodiment, optical surface 88 of article 86 is
5 contacted with a liquid metal 90, for example gallium, mercury, or other liquid known to those of skill in the art (Fig. 11e). Liquid metal 90 includes a surface 92 that is in contact with and correlates to surface 88 of article 86, and surface 92 of liquid metal 90 is thereby formed into a diffraction grating. If article 86 is transparent, electromagnetic radiation can be directed through article 86 at surface 92, and diffracted (Fig. 11e).
10 After liquid metal 90 is brought into contact with article 86 so that a surface 92 of liquid 90 contacts and correlates with surface 88 of article 86, liquid metal 90 can be encapsulated by coating exposed surfaces of the liquid metal with a hardenable fluid, preferably the same fluid as fluid precursor 82, and the fluid allowed to harden. The result, as illustrated in Fig. 11f, is an optical element 93 that is a liquid metal having a
15 surface 92 that is an optical surface, which liquid metal is encapsulated in a transparent article 86.

According to an embodiment in which article 86 is flexible, optical surface 92 of liquid metal 90 can be deformed. For example, surface 92 can be compressed, elongated or bent. Where article 86 is an elastomer, surface 92 can be repeatedly
20 elongated and bent. Thus, where the electromagnetic radiation is directed at surface 92 and diffracted, the pattern of diffraction can be adjusted, that is, changed, by manipulating optical element 93, for example by stretching it.

The method and article described above with reference to Figs. 11a-f can be used in conjunction with any optical element having a reflective surface, such as
25 diffraction gratings including holographic gratings, blaze gratings (illustrated in Figs. 11a-f), and the like. For example, a concave mirror can be formed according to one embodiment, and this is described with reference to Figs. 12a-d. At Fig. 12a a template 94 having an optical surface 95, for example a glass plano-concave lens, is immersed in a fluid precursor 82, for example polydimethylsiloxane. Precursor 82 is hardened and
30 template 94 is removed, and an article 96 having an optical surface 98 that corresponds to optical 95 of template 94 remains (Fig. 12b). Referring to Fig. 12c, a liquid metal 90 is placed in contact with optical surface 98 of article 96, thus an optical surface 100 is

formed on liquid metal 90, defining an optical element 102. According to one embodiment article 96 is flexible. According to a preferred embodiment article 96 is an elastomer. Article 102 according to this embodiment includes an elastomer 96 including a void 104 having a convex or concave surface (convex surface 100 as
5 illustrated) and a liquid metal 90 adjacent the surface of the void, the liquid metal having an optical surface 100 (a concave mirror surface is illustrated that corresponds to the concave optical surface 95 of template 94).

Optical element 102 can be used to focus electromagnetic radiation directed at optical surface 100 of liquid metal 90 and allowed electromagnetic radiation to reflect
10 from the optical surface. According to an embodiment in which article 96 is flexible, article 96 can be adjusted, thereby adjusting optical surface 100 of liquid metal 90 and adjusting the focus of the electromagnetic radiation. According to a preferred embodiment in which article 96 is an elastomer, this can be done repeatedly.

Referring to Fig. 12d, optical element 103 is illustrated. Optical element 103
15 results from complete encapsulation of liquid metal 90 by article 97, which can be formed by taking steps as described above with respect to Fig. 11f. In the embodiment illustrated in Fig. 11f, liquid metal 90 completely fills void 104 in article 96.

Referring now to Fig. 13, a method of making an optical element is illustrated schematically. The method involves providing a substrate 106 that has, on a surface
20 108 thereof, a self-assembled monolayer 110 exposing a first chemical functionality. Monolayer 110 is formed in a predetermined shape on surface 108 that corresponds to a shape desired for one portion of the surface of the optical element. A fluid precursor 112 of the optical element then is placed upon monolayer 110, and a surface 114 of fluid precursor 112 is contacted with a fluid 116 that is incompatible with the fluid
25 precursor 112. Alternatively, the incompatible fluid 116 can first be applied to surface 108 of article 106 (and monolayer 110), and fluid precursor 112 then can be applied to monolayer 110, for example using a pipet 118. Portions of surface 108 of article 106 that are not covered with monolayer 110 do not attract fluid precursor 112. If such portions of surface 108 are attractive to fluid precursor 112, a self-assembled monolayer
30 120 can be formed around self-assembled monolayer 110, isolating monolayer 112, that exposes a chemical functionality that does not attract precursor 112.

When precursor 112 is polydimethylsiloxane that is polymerized to form an

optical element that is a plano-convex lens, self-assembled monolayer 110 can be formed from a molecular species terminating in a non-polar functionality, for example hexadecanethiol. In this case, if surface 108 is not sufficiently repulsive of fluid precursor 112, then the remaining portions of surface 108 can be coated with a self-assembled monolayer of a species terminating in a polar functionality, for example a long-chain thiol terminating in a carboxylic acid. This arrangement can be used when surface 108 is a gold surface. Other combinations of surfaces and functional groups that adhere to surfaces are described in Application Serial No. 08/131,841, and/or are known to those with skill in the art.

10 The present invention also provides a method for controlling the shape of a liquid, for example a fluid precursor of an optical element. Referring to Fig. 14, the method involves providing a supporting, electrically conductive surface 120. Surface 120 can, for example, be an exposed surface of a thin, transparent gold film 121 on a transparent (e.g. glass) support 122. A self-assembled monolayer is applied to a region 15 124 of surface 120 and a fluid 126 that is attracted to the chemical functionality exposed by the self-assembled monolayer is applied to the self-assembled monolayer. If surface 120 is not repulsive of fluid 126, then the portion of surface 120 not covered by the self-assembled monolayer can be covered by a self-assembled monolayer exposing a chemical functionality that is repulsive of fluid 126. An electrolyte fluid 20 128 that is not compatible with fluid 126 is placed over fluid 126, and an electrical circuit including surface 120 and electrolyte 128 is arranged. For example, a reference electrode 130 and a counter electrode 132 can be immersed in electrolyte 128, and surface 120 can define a working electrode, the working, counter, and reference electrodes being controlled by potentiostat 135. By altering the electrical potential at 25 surface 120, the contact angle formed by liquid 126 at surface 120 changes. The change in contact angle is dependent upon the electrical potential. According to one embodiment, the self-assembled monolayer at region 124 is caused to desorb and resorb, during change in electrical potential, at the outermost point of contact between fluid 126 and the surface selectively, and this causes the contact angle between the fluid 30 and the surface to change.

In this way, liquid 126 can be used as a lens that can be focused depending on the electrical potential of surface 120 relative to reference electrode 130. A source of

electromagnetic radiation 134 can direct electromagnetic radiation at fluid 126, which electromagnetic radiation can be focused and utilized by a sensor or other electromagnetic radiation-receiving apparatus 136. If fluid 126 is a hardenable fluid, it can serve as a precursor of a solid lens, and adjusting the electrical potential between surface 120 and reference electrode 130 can adjust the focal length of fluid 126, followed by hardening of fluid 126 to produce a lens 126 having a focal length that has been set by adjusting the potential at surface 120 during lens formation. Hardenable fluids useful as fluid 126 include those described above with respect to formation of microstamps and optical elements, and include generally any hardenable fluid that is incompatible with a suitable electrolyte, and that can be placed upon an exposed surface of a self-assembled monolayer.

When a self-assembled monolayer exposes a redox functionality, the species that forms the spacer portion of the self-assembled monolayer-forming species can advantageously be selected as one that has a reasonably good electron transfer function, for example polyenes, poly-ynes, polyaromatics, and the like can be selected.

According to another embodiment, region 124 of surface 120 (or the entire surface 120) is covered with a self-assembled monolayer exposing a redox functionality. Examples of suitable redox functionalities include ferrocene, quinone, thiophene, pyrrole, and the like. The redox state of the exposed chemical functionality of the self-assembled monolayer can be controlled, which can control the contact angle of a fluid 126 at the surface of the self-assembled monolayer.

While the arrangement in Fig. 14 involves an electrolyte contacting surface 120, surrounding fluid 126, and contacting the working and reference electrodes, according to an embodiment in which fluid 126 is an electrolyte, the working and counter electrodes can contact fluid 126 directly. In this embodiment, electrolyte 128 is not necessary if not needed to aid in the formation of the shape of fluid 126.

In the embodiment described with reference to Fig. 14, where fluid 126 is an organic fluid, it may be conveniently applied to region 124 of surface 120 as follows: an aqueous fluid 128 is prepared, and a layer of organic fluid 126 is placed on top of aqueous solution 128. Article 122 is passed through the layer of organic fluid 126, whereupon some of fluid 126 adheres to region 124 of surface 120, and into aqueous solution 128.

In Fig. 14, one article only is formed from fluid 126. However, a plurality of articles on a plurality of isolated regions of surface 120 can be fabricated, and/or used as individual optical elements as illustrated.

According to another embodiment similar to that illustrated in Fig. 14, a plurality of articles are formed on a surface 120 without the use of electrochemistry. A self-assembled monolayer including an isolated region exposing a particular chemical functionality, or an isolated region of a self-assembled monolayer exposing a chemical functionality surrounded by a surface free of self-assembled monolayer, can be passed through an organic fluid layer 126 on top of an aqueous layer 128, whereupon portions of fluid 126 adhere to compatible portions of the self-assembled monolayer, as illustrated in Fig. 14. Fluid 126 can then be hardened, for example via curing, under an aqueous solution, or the article can be removed and fluid regions 126 can be cured.

The present invention also provides a method of forming an article that includes providing a precursor of the article in contact with at least two discreet self-assembled monolayer regions. Referring to Figs. 15a and 15b, a first article 140 and a second article 142 are illustrated schematically. Article 140 includes a thin layer of a conductive species 144 on one of its surfaces and article 142 includes a thin layer of a conductive species 146 on one of its surfaces. Articles 140 and 142, including conductive layers 144 and 146 can be identical. On the surface of conductive layers 144 and 146, respectively, it is a first region 148 and a second region 150 of a self-assembled monolayer exposing a first chemical functionality. The remaining portions of the surface of conductive layers 144 and 146 remain free of self-assembled monolayer, or are covered with a self-assembled monolayer exposing a chemical functionality different from the first chemical functionality. A fluid 152 is caused to contact both self-assembled monolayer 148 and 150. Fluid 152 is compatible with the first chemical functionality exposed by self-assembled monolayers 148 and 150. Fluid 152 is surrounded by fluid 154, which is incompatible with fluid 152. Fluid 154 can be applied to fluid 152 after fluid 152 is applied to self-assembled monolayers 148 and 150, or fluid 152 can be applied to self-assembled monolayers 148 and 150 under fluid 154, for example using pipette 156. If fluid 152 is a hardenable fluid, for example, a precursor of a solvent polymer, it can be cured to form article 158. Article 158 can be removed from self-assembled 148 and 150. According to an alternate embodiment,

conductive layers 144 and 146 are not present.

According to one embodiment, electrochemical apparatus as illustrated in Fig. 14 (not shown in Figs. 15a and b) is provided to adjust the electrical potential of conducting layers 144 and 146. Conducting layers 144 and 146 can be adjusted independently, and thus, the area of contact between fluid 152 and self-assembled monolayers 148 and 150, respectively, can be adjusted.

Figs. 16a-d illustrate schematically a process for etching an article that is similar to the process described in connection with Figs. 8a-d, with like numerals referring to corresponding components. An article 60, for example silicon, includes, on its surface 62, a layer 64 that can be a thermally-formed layer of silicon dioxide having a surface 66. A self-assembled monolayer 68 (for example alkyl siloxane) is patterned onto surface 66, using any method described herein or other method known to those of skill in the art. Referring to Fig. 16b, a protecting species 70 is added. While in Figs. 8a-d protecting species 70 is selected to be compatible with the self-assembled monolayer and relatively incompatible with the surface 66 of the layer of silicon dioxide 64, in the embodiment illustrated in Figs. 16a-d protecting species 70 is more compatible with surface 66 than with self-assembled monolayer 68. The protecting species can be a liquid, a polymerizable species, or the like. The compatibility of the protecting species with the self-assembled monolayer or with the surface 66 can be selected and/or adjusted by those of ordinary skill in the art.

Fig. 16c illustrates the arrangement after application of an etchant to the surface. Portions 63 of layer 64 of silicon dioxide that are protected by protecting species 70 remain on surface 62 of article 60 as mask 65. Remaining portions of layer 64 are etched by the etchant. The etchant and protecting species 70 are selected so as to be incompatible and protecting species 70 thereby protects portions 63 that define mask 65. Subsequently, a silicon etch as described above is added, and silicon dioxide mask 65 acts as a resist for the silicon etch. An exemplary process is described in greater detail below in Example 3, and a photocopy of a scanning electron micrograph (SEM) of a resultant etched surface is shown in Fig. 16e.

In all of the embodiments described and illustrated herein, methods and devices described in accordance with one embodiment can be utilized with any other embodiment where feasible. For example, the controlled spreading described with

reference to Figs. 1a-d can be used in conjunction the stamp deformation as described with reference to Figs. 3a-c. and these embodiments together or alone can be used for methods and devices discussed with reference to other figures, where feasible. For example, stamp deformation can be used on nonplanar surfaces, controlled spreading
5 can be used in etching as described with reference to Figs. 8a-d. with lithographic molding as in Figs. 9a-f. etc. As another example, articles provided in accordance with the invention, especially articles that are diffraction gratings, optical element encapsulants, microstamps, lenses, and the like, all can be fabricated from material or precursors from which others are fabricated, and/or from material described in U.S.
10 Application Serial No. 08/131,841. Many of these articles are transparent polymers, elastomers, and the like, and these materials are known to those of skill in the art.

In all of the embodiments described and illustrated herein, self-assembled monolayers that expose a variety of chemical functionalities can be formed. Hydrophobic, hydrophilic, cytophobic, cytophilic, and other functionalities are
15 described in Application Serial No. 08/131,841, and the following references, all incorporated herein:

Co-pending, commonly-owned U.S. Application Serial No. 08/312,388, entitled "Molecular Recognition at Surfaces Derivatized with Self-Assembled Monolayers", by Bamdad, et al.;

20 "Controlled outgrowth of dissociated neurons on patterned substrates", Kleinfeld, et al. Journal of Neuroscience, 8(11): 4098 (1988);

"Growth Control in Miniclones of Human Glial Cells", Westermarck, B. Experimental Cell Research, 111, 295-299 (1978);

"Micropatterned Substratum Adhesiveness: a Model for Morphogenetic Cues Controlling Cell Behavior" Britland, S., et al Experimental Cell Research, 198, 124-129
25 (1992);

"Engineering Cell Shape and Function", Singhvi, R., et al. Science, 264, 696 (1994);

"Convenient Methods of Patterning the Adhesion of Mammalian Cells to Surfaces Using Self-Assembled Monolayers of Alkane Thiols on Gold", Journal of the American Chemical Society 115: 5877-5878 (1993).
30

In accordance with the teachings in these and other references, those of skill in

the art can utilize the surfaces produced in accordance with the invention in a variety of biological, chemical, physical, and analytical arenas.

The function and advantage of these and other embodiments of the present invention will be more fully understood from the examples below. The following
5 examples are intended to illustrate the benefits of the present invention, but do not exemplify the full scope of the invention.

Example 1: Fabrication of a Microprinting Stamp

a polydimethyl siloxane stamp was fabricated. Sylgard™ 184 silicone elastomer.
10 parts "a" and "B" (10:1 by weight Dow Corning) were mixed in a plastic cup; trapped air was removed under vacuum. The mixture was poured over a master as described by Kumar, et al., Langmuir, 10, 1498-1511 (1994). The master was held in a polystyrene petri dish and left at room temperature for approximately two hours. It was cured by heating in an oven at 60° C for approximately two hours.

15

Example 2: Controlled Reactive Spreading of a Self-Assembled Monolayer on a Surface

Hexadecanethiol was selected as species 27 and was purified by chromatography through silica gel in ethanol with concentrations from 0.01mM to
20 6.5mM. Gold films on silicon were prepared by electron beam sputtering. A piece of gold substrate was put into a polystyrene petri dish that was half-filled with deionized water, and the stamp including species 27 applied to surface 22 was brought into contact with the gold surface. To avoid smearing the gold surface with the stamp, one of two methods were employed. According to the first, the stamp and the gold substrate
25 were taken out of the water together while still in contact, carefully dried in a stream of nitrogen, and then separated. According to the second, the stamp was separated from the gold substrate while under water. The water was replaced with several volumes of clean water to remove any residual species 27 (alkanethiol) and the gold substrate then was removed from water and dried in a stream of nitrogen. Each time the stamp was
30 re-used, it was first rinsed with excess ethanol to remove alkanethiol. Gold was removed from the surface of the silicon article using a cyanide solution (KCN) 0.1 M; KOH: 1 M) with vigorous stirring using air (oxygen) as an oxidant.

Example 3

a process for etching silicon is described. The process is described with reference to Figs. 16a-d. A silicon wafer (<100>orientation) covered with 0.2 micron-thick thermal silicon dioxide (used as received from MEMC) was cleaned in piranha solution (a mixture 7:3 (v/v) of 98% H₂SO₄ and 30% H₂O₂), thoroughly rinsed with deionized water, and used immediately (Caution: piranha solution is an extremely strong oxidant and should be handled with care. Contact with skin can cause serious injury. Contact with eyes can cause blindness). The surface of the wafer was patterned, using a stamp fabricated in accordance with Example 1, with a self-assembled monolayer of -Cl₃SiR, -(EtO)₃SiR (R = -(CH₂)₃NH₂, -(CH₂)₃SH, -(CH₂)₃BR, -(CH₂)NCO, -(CH₂)₂(CF₃)₂CF₃, or -(CH₂)₁₅(CH=CH₂) in hexane (ca. 0.4% w/w) for ca. 5 min. Subsequent treatment of the patterned self-assembled monolayers containing vinyl-terminated regions with an aqueous solution of KMnO₄ and KIO₄ converted the olefins to carboxylic acids. Fig. 16a illustrates schematically these self-assembled monolayers. A drop of a prepolymer of polymethyl methacrylate (SK-9, Edmund Scientific) or polyurethane (J-91, Edmund Scientific; NOA81, Norland Products, inc.) was placed on the patterned area. The excess prepolymer was removed by tilting the substrate and allowing the prepolymer to drain off of the surface. The prepolymer was compatible with the chemical functionality exposed by the surface silicon dioxide surface rather than the self-assembled monolayer, thus (Fig. 16b), the prepolymer remaining on the surface selectively wetted regions 63 of silicon dioxide uncovered by self-assembled monolayer 68. The prepolymer was cured under a mercury lamp (medium pressure; the distance between the sample and the lamp was about 1 cm) for about 20 minutes to form protecting species 70 at regions 63 intervening regions covered by self-assembled monolayer 68. The surface was exposed to an etchant, specifically NH₄F-buffered aqueous HF solution (250mL of H₂O, 165.5 g of NH₄F, and 40 ml of 48% HF). The etchant was incompatible with the protecting species 70, but removed self-assembled monolayer 68 and the underlying layer of silicon dioxide except at those regions 63 protected by species 70, which served as mask 65 in a subsequent etch of silicon. Anisotropic etching of silicon was carried out in an aqueous solution of KOH and i-PrOH at 70° C (400 mL of H₂O, 92 g of KOH, 132mL of i-PrOH). This etchant removed the protecting species 70, but not the underlying silicon

dioxide mask 65. That is, portions of silicon not protected by mask 65 were anisotropically etched (Fig. 16d). The result is an etched surface of silicon, as represented by the photocopy of the SEM image in Fig. 16e.

Those skilled in the art would readily appreciate that all parameters listed herein
5 are meant to be exemplary and actual parameters will depend upon the specific application for which the methods and apparatus of the present invention are being used. It is, therefore, to be understood that the foregoing embodiments are presented by way of example only and that, within the scope of the appended claims and equivalents thereto, the invention may be practiced otherwise than as specifically described.

10

What is claimed is:

CLAIMS

1. A method of etching an article having a surface that is coated with a thin layer of resist having a surface, comprising:

contacting a first portion of the resist surface with a stamp to transfer to
5 the first portion a self-assembled monolayer of a molecular species in a first pattern, the self-assembled monolayer being contiguous with an exposed portion of the resist surface in a second pattern;

removing from the surface of the article the resist in the second pattern
by contacting the exposed portion of the resist surface with a first etchant that reacts
10 chemically with the resist and that is inert with respect to the self-assembled monolayer, thereby exposing the surface of the article in the second pattern;

applying to the exposed surface of the article a second etchant that reacts chemically with the article and that is inert with respect to the resist.

15 2. A method as in claim 1, wherein the resist is an electrical conductor.

3. A method as in claim 1, wherein the resist is a metal oxide.

4. A method as in claim 1, wherein the resist is an oxide of the article.

20

5. A method as in claim 1, wherein the article is a semiconductor.

6. A method as in claim 1, wherein the resist is silicon dioxide and the article is silicon.

25

7. A method as in claim 1, wherein the stamp has a surface including at least one indentation formed therein, the indentation contiguous with a stamping surface defining a stamping pattern.

30 8. A method as in claim 1, wherein the surface of the article is nonplanar.

9. A method as in claim 1, wherein the self-assembled monolayer exposes a

chemical functionality in the first pattern. the method further comprising:

prior to the removing step, coating the molecular species with a protecting species that is compatible with the chemical functionality and incompatible with the first etchant.

5

10. A method as in claim 1, wherein the stamp is deformed prior to and/or during the contacting step.

11. A method of etching an article having a surface, comprising:

10 contacting a first portion of the surface with a stamp to transfer to the first portion a self-assembled monolayer of a molecular species in a first pattern, the self-assembled monolayer being contiguous with a second, exposed portion of the surface in a second pattern and exposing a chemical functionality;

applying to the exposed portion of the surface a protecting species that is
15 compatible with the chemical functionality; and

exposing the surface to an etchant that reacts chemically with the surface but that is incompatible with the protecting species.

12. A method of applying to a surface of an article a self-assembled monolayer
20 of a molecular species, comprising:

coating a portion of a stamping surface of a stamp with a self-assembled monolayer-forming molecular species; and

transferring from the stamping surface to a first portion of the article surface the molecular species, while applying to a second portion of the article surface
25 contiguous with the first portion a species that is not compatible with the molecular species.

13. A method as in claim 12, further comprising allowing the molecular species to spread evenly from the first portion of the article surface to the second portion of the
30 article surface.

14. A method as in claim 12, the transferring step comprising contacting the

first portion of the article surface with at least a portion of the stamping surface for a period of time sufficient to allow the molecular species to spread onto the second portion of the article surface.

5 15. A method as in claim 12, wherein the stamp has a surface including at least one indentation formed therein, the indentation contiguous with the stamping surface defining a stamping pattern.

10 16. A method as in claim 12, wherein the first portion of the article surface comprises at least two isolated regions separated by the second portion, and the method comprises transferring the molecular species from the stamping surface to the at least two isolated regions of the first portion while applying to a second portion the species that is not compatible with the molecular species, and allowing the molecular species to spread from each of the at least two isolated regions of the first portion toward each
15 other.

 17. A method as in claim 12, wherein the molecular species is lipophilic and the species that is not compatible with the molecular species is water.

20 18. A method as in claim 12, wherein article surface is nonplanar.

 19. A method as in claim 12, wherein the stamp is deformed prior to and/or during the transferring step.

25 20. A method comprising:

 applying to a surface of an article a first region and a second region of a self-assembled monolayer, the first and the second regions separated from each other by an intervening region contiguous with the first and second regions;

 applying to the intervening region a species that is not compatible with
30 the molecular species; and

 allowing the molecular species to spread evenly from the first region toward the second region and from the second region toward the first region.

21. A device comprising:
an article defining a surface;
an isolated region of a self-assembled monolayer of a molecular species
on the surface, the isolated region including a lateral dimension of less than 10 microns.

5

22. A device as in claim 21, wherein the isolated region includes a lateral
dimension of less than 5 microns.

23. A device as in claim 21, wherein the isolated region includes a lateral
10 dimension of less than 2 microns.

24. A device as in claim 21, wherein the isolated region includes a lateral
dimension of less than 0.5 micron.

15 25. A device as in claim 21, wherein the isolated region includes a lateral
dimension of less than 0.1 micron.

26. A device as in claim 21, wherein the surface is nonplanar.

20 27. A method of applying to a surface of an article a self-assembled monolayer
of a molecular species, comprising:

coating a portion of a stamping surface of a flexible stamp with a
self-assembled monolayer-forming molecular species;

deforming the stamp; and

25 contacting at least a portion of the surface with at least a portion of the
stamping surface.

28. A method as in claim 27, wherein the deforming step comprises
compressing the stamp approximately in a plane parallel to the stamping surface.

30

29. A method as in claim 27, wherein the deforming step comprises applying to
the stamp a force approximately perpendicular to the stamping surface.

30. A method as in claim 27, wherein the stamp has a surface including at least one indentation formed therein, the indentation contiguous with the stamping surface defining a stamping pattern.
- 5 31. A method as in claim 27, wherein the contacting step comprises transferring from the stamping surface to a first portion of the article surface the molecular species, while applying to a second portion of the article surface contiguous with the first portion a species that is not compatible with the molecular species.
- 10 32. A method as in claim 31, further comprising allowing the molecular species to spread from the first portion to the second portion.
33. A method as in claim 27, wherein the article surface is nonplanar.
- 15 34. A method of making an article, comprising:
 etching a pattern into a surface of a template;
 molding the article on the surface of the template.
- 20 35. A method as in claim 34, wherein the molding step comprises applying to the template a hardenable fluid and allowing the fluid to harden.
36. A method as in claim 35, wherein the hardenable fluid is a prepolymeric fluid and the allowing step comprises polymerizing the fluid.
- 25 37. A method as in claim 34, wherein the template surface is nonplanar.
38. A method as in claim 34, wherein the article is an elastomer.
39. A method as in claim 34, wherein the etching step comprises anisotropically
30 etching a pattern into the surface of the template.
40. A method of making an article, comprising:

providing a template having a surface anisotropically etched in a pattern:
applying a hardenable fluid to the surface: and
allowing the fluid to harden.

5 41. A method of patterning a self-assembled monolayer on a nonplanar surface
of an article, comprising:

 rolling the nonplanar surface of the article over a stamping surface of a
stamp carrying a self-assembled monolayer-forming molecular species, thereby
transferring to the nonplanar surface a self-assembled monolayer of the molecular
10 species.

 42. A method as in claim 41, wherein the stamp has a surface including at least
one indentation formed therein, the indentation contiguous with a stamping surface
defining a stamping pattern.

15

 43. A method as in claim 41, wherein the rolling step comprises applying to the
nonplanar surface the self-assembled monolayer in a pattern, while leaving portions of
the surface contiguous with the self-assembled monolayer exposed, the method further
comprising etching the exposed portions of the surface.

20

 44. A diffraction grating comprising:

 a liquid metal having a surface that is in contact with and correlates to a
surface of an article, which article surface correlates to an diffraction grating, the liquid
metal surface being formed thereby into a diffraction grating.

25

 45. A diffraction grating as in claim 44, wherein the article is transparent and
flexible.

 46. A diffraction grating as in claim 44, wherein the article is transparent and
30 elastomeric.

 47. A diffraction grating as in claim 44, wherein the article is elastomeric.

48. A diffraction grating as in claim 44, wherein the liquid metal is encapsulated in the article.

49. An optical element comprising:

5 an elastomer including a void having an optical convex or concave surface; and

a liquid metal adjacent the convex or concave surface of the void.

50 An optical element as in claim 49, wherein the liquid metal fills the void and
10 is encapsulated by the elastomer.

51. A method of diffracting electromagnetic radiation, comprising:

directing electromagnetic radiation at a liquid metal having a surface that
is a diffraction grating to cause diffraction of the electromagnetic radiation; and
15 allowing diffracted electromagnetic radiation to reflect from the surface.

52. A method as recited in claim 51, further comprising deforming the surface
of the liquid metal that is a diffraction grating to adjust the pattern of diffraction of the
electromagnetic radiation.
20

53. A method as recited in claim 52, wherein the deforming step comprising
elongating the surface of the liquid metal that is a diffraction grating.

54. A method as recited in claim 52, wherein the deforming step comprising
25 bending the surface of the liquid metal that is a diffraction grating.

55. A method as recited in claim 52, wherein the deforming step comprising
compressing the surface of the liquid metal that is a diffraction grating.

30 56. A method of focusing electromagnetic radiation, comprising:
directing electromagnetic radiation at a liquid metal having a concave
surface; and

allowing the electromagnetic radiation to reflect from the surface.

57. A method of making a lens, comprising:

providing a hardenable fluid precursor of the lens:

5 contacting a surface of the fluid precursor with a liquid that is
incompatible with the fluid precursor; and

allowing the fluid precursor to harden to form a lens.

58. A method as recited in claim 57, wherein the precursor is a prepolymeric

10 fluid, and the allowing step comprises polymerizing the precursor.

59. A method as recited in claim 57, wherein the precursor is a precursor of an
elastomer.

15 60. A method of making an article, comprising:

providing a supporting surface having, on a discrete isolated region, a
self-assembled monolayer of a molecular species:

applying to the self-assembled monolayer a fluid precursor of the article,
the precursor having a surface including a first region in contact with the self-assembled
20 monolayer and a second, exposed region contiguous with the first region:

contacting the exposed region of the precursor surface with a fluid that is
incompatible with the precursor fluid; and

allowing the precursor to harden.

25 61. A method of controlling the shape of a liquid comprising:

providing a supporting, electrically-conductive surface having, on a
discrete isolated region, a self-assembled monolayer of a molecular species exposing a
chemical functionality that is compatible with the liquid:

positioning the liquid on the self-assembled monolayer of the molecular
30 species:

surrounding the liquid with a fluid electrolyte that is not compatible with
the liquid; and

adjusting an electrical potential of the electrically-conductive surface to control the shape of the liquid.

62. A method comprising:

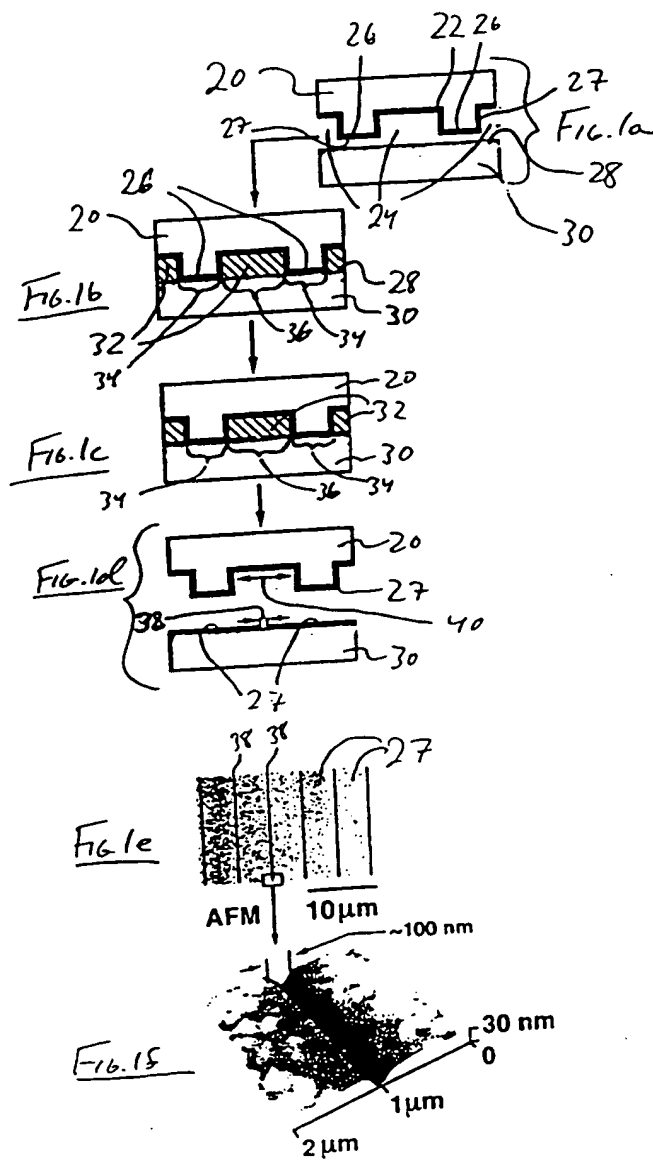
5 writing on a surface of an article simultaneously at least two separate lines of a self-assembled monolayer-forming species.

63. A method as in claim 11 wherein the surface is a surface of a second resist on a base article, the method further comprising exposing the surface to a second
10 etchant that does not etch the second resist but that etches the article thereby etching the article at the first portion in a pattern in which the self-assembled monolayer had been applied.

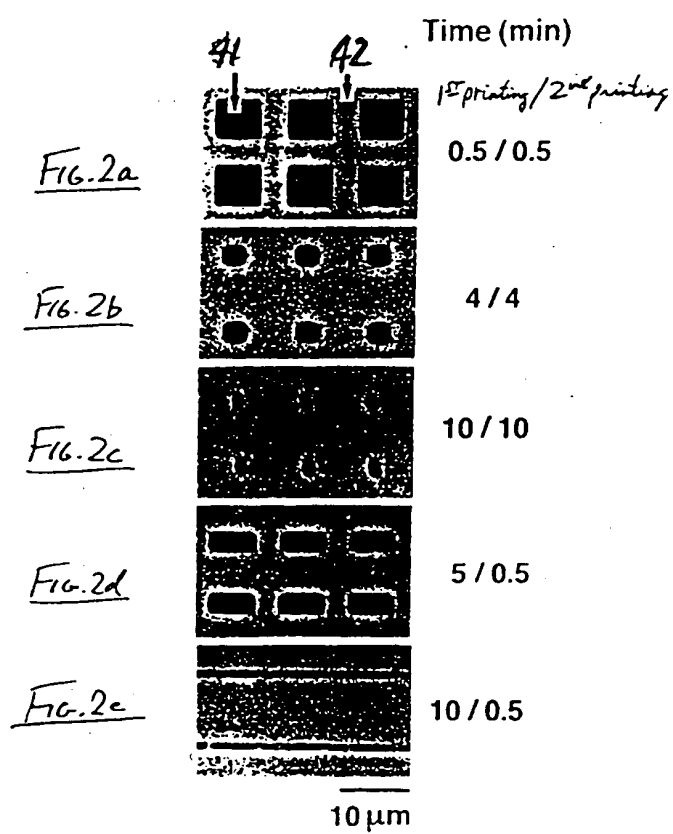
64. A method as in claim 63, wherein the article is silicon and the second resist
15 is a layer of silicon dioxide on the silicon.

65. An optical fiber having a surface on which is a self-assembled monolayer.

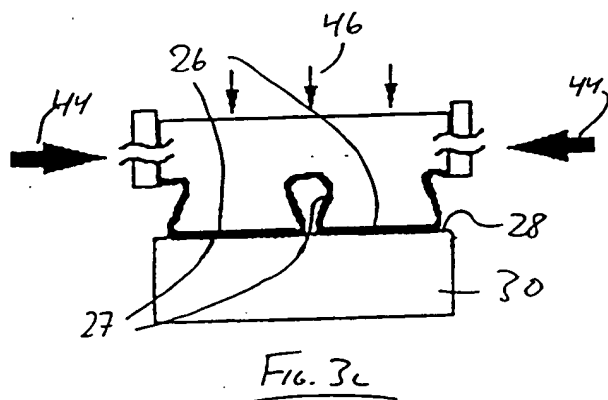
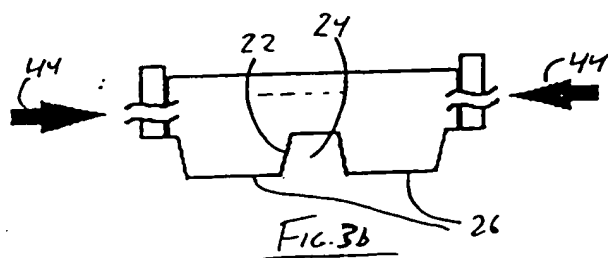
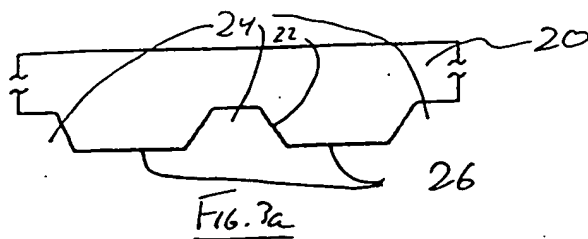
1/16



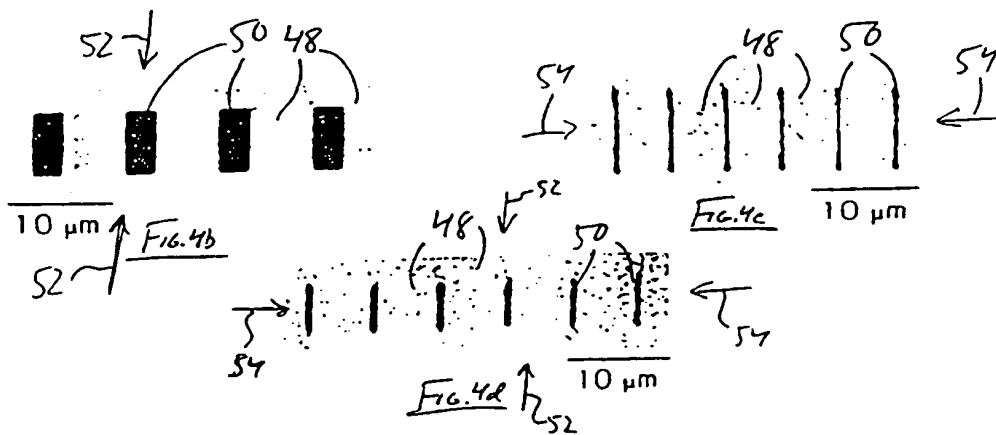
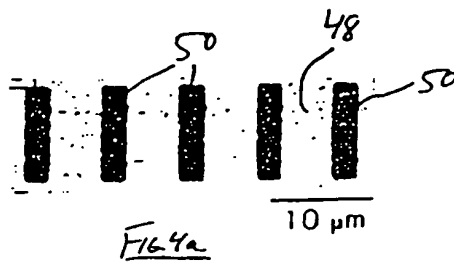
2/16



3/16



4/16



5/16

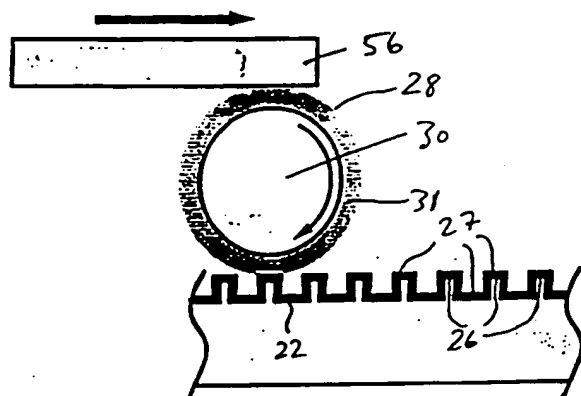


FIG. 5a

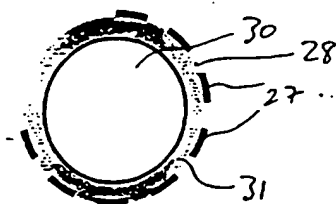


FIG. 5b

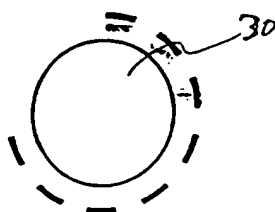


FIG. 5c

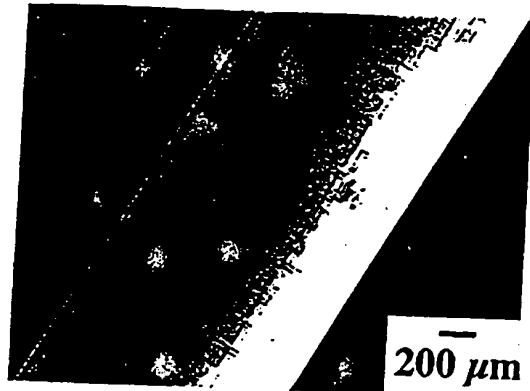


FIG. 6a

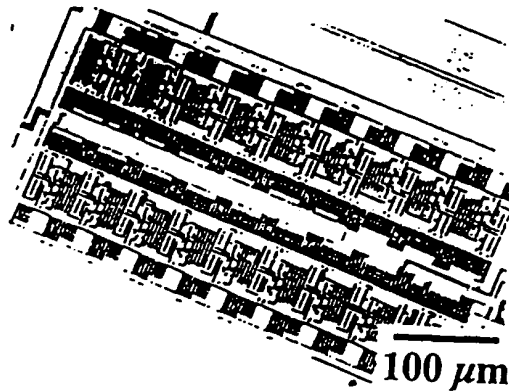


FIG. 6b

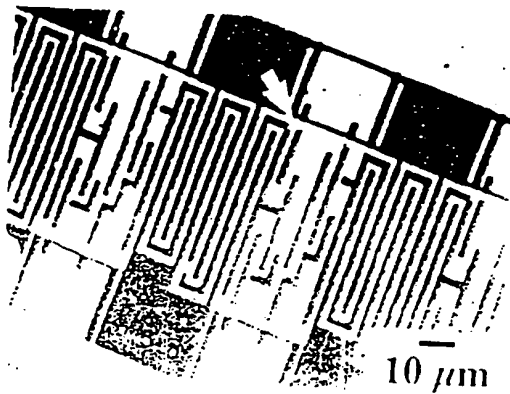
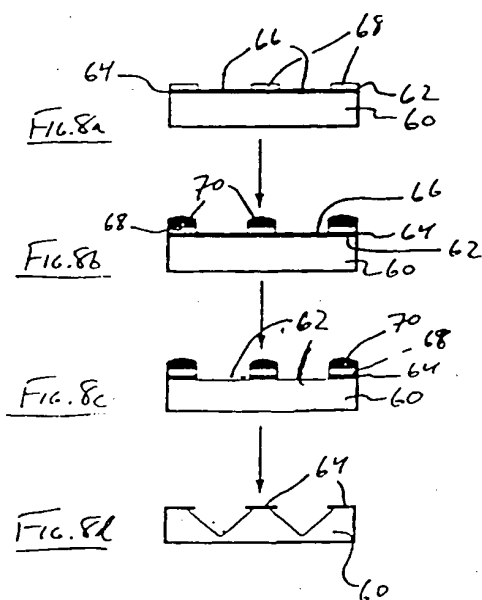


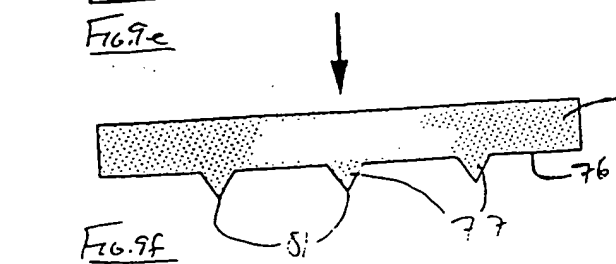
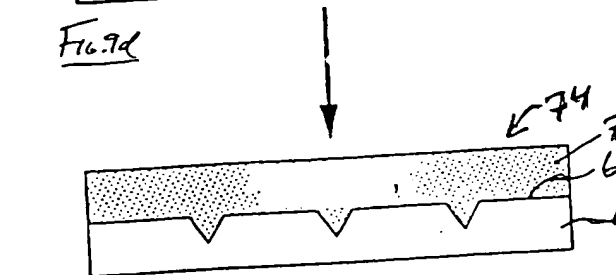
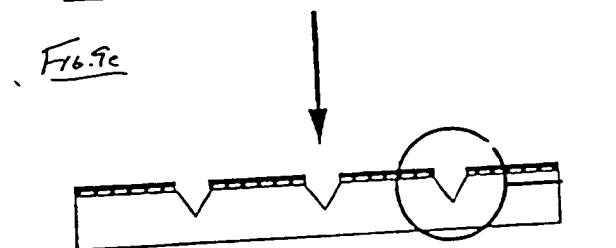
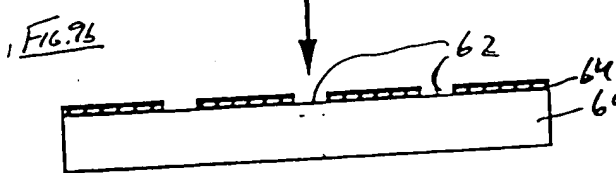
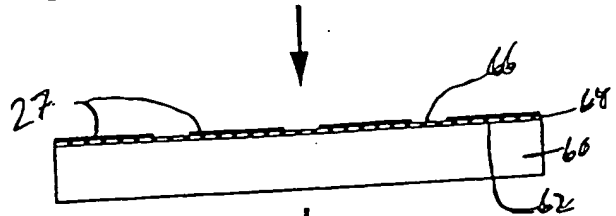
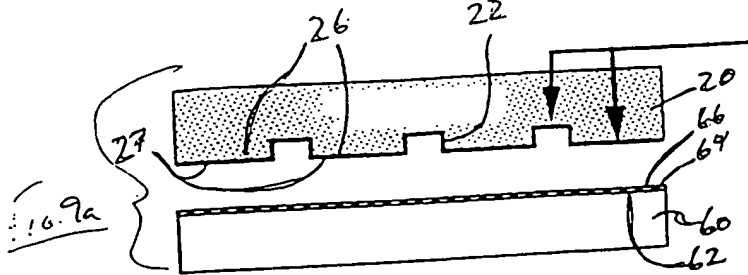
FIG. 6c



FIG. 7



9/16



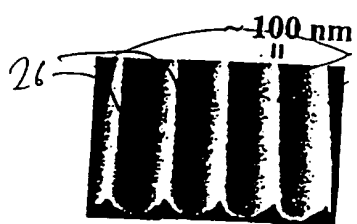
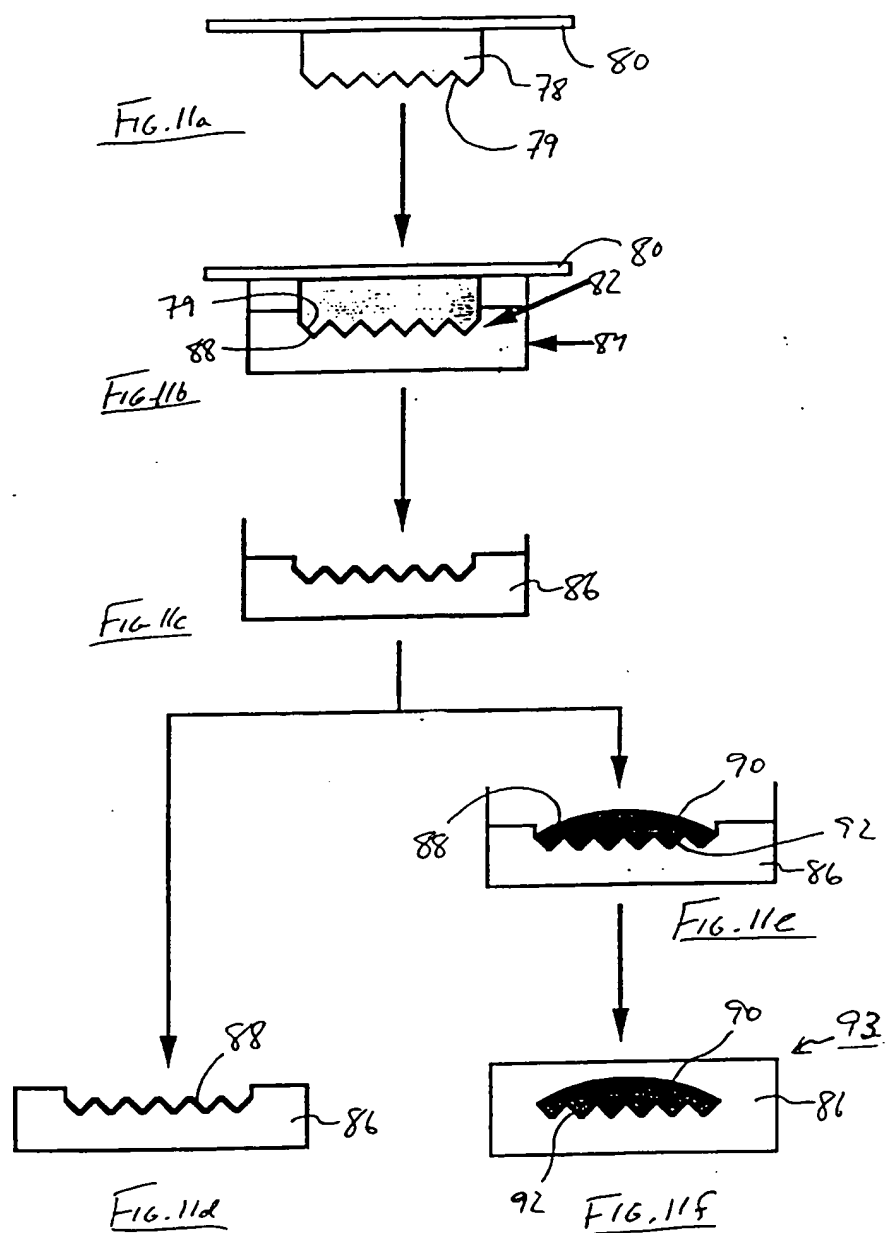
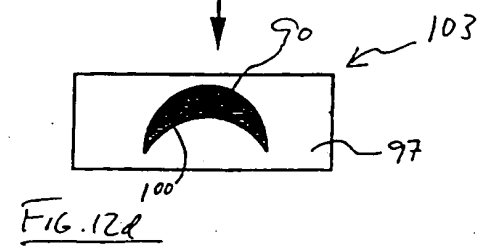
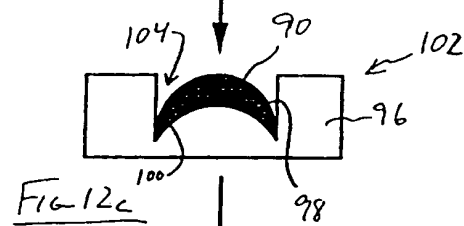
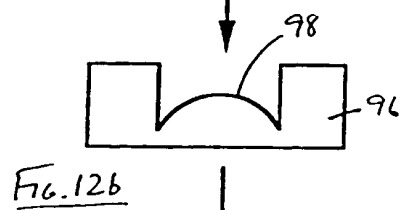
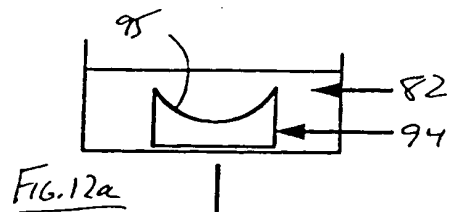


FIG. 10

11/16





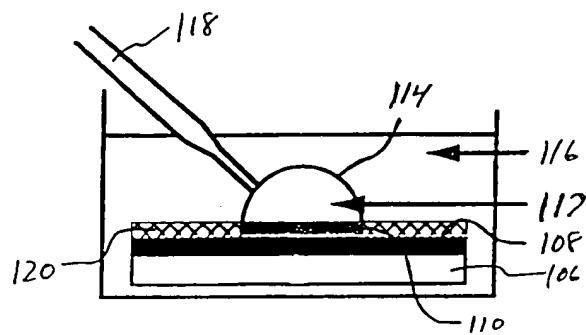
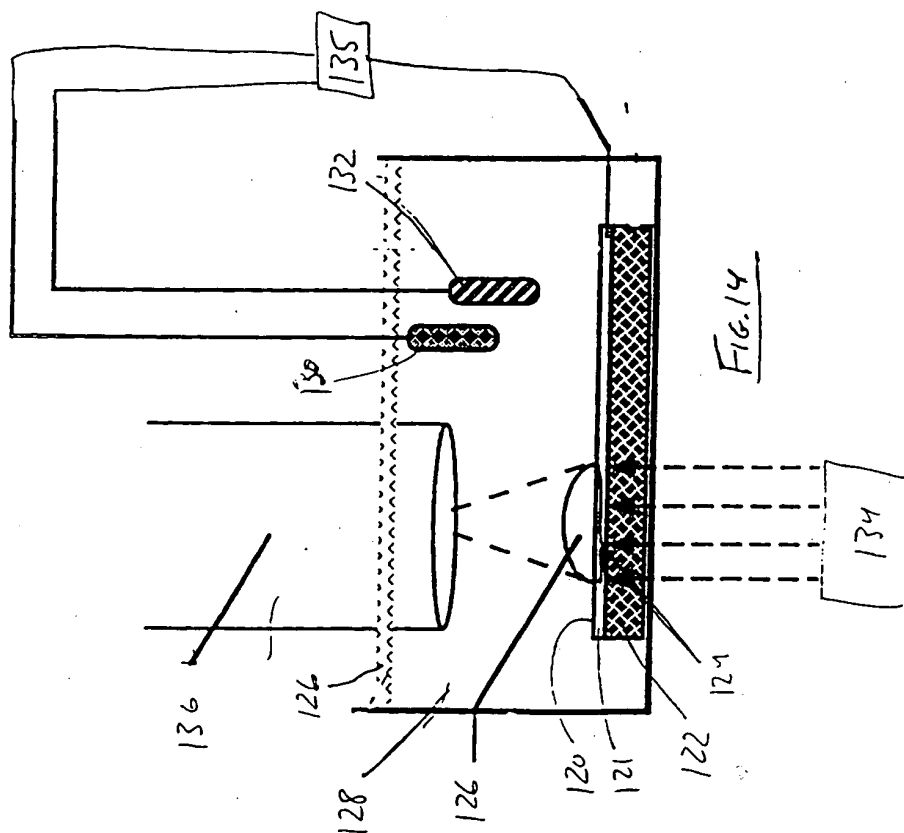
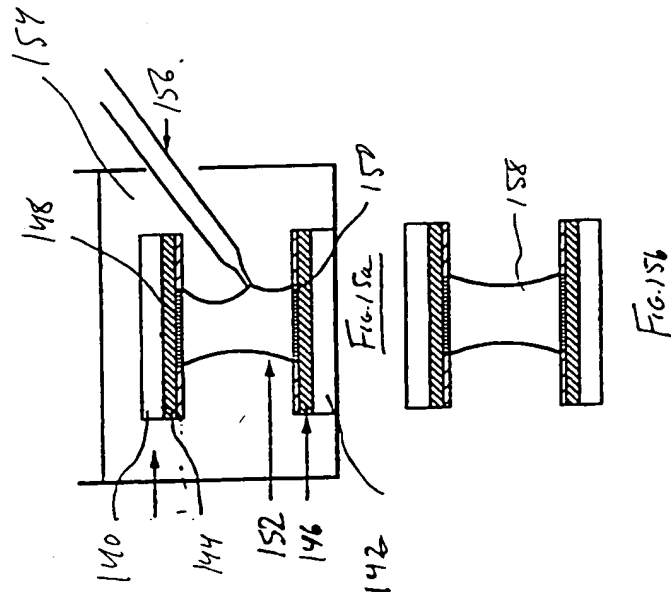
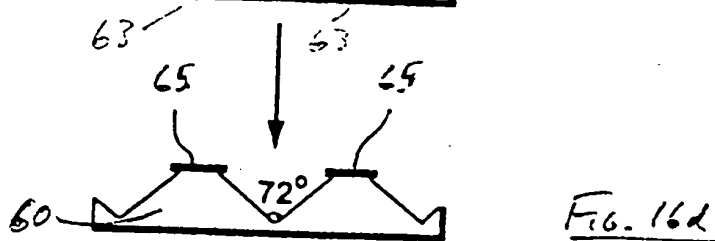
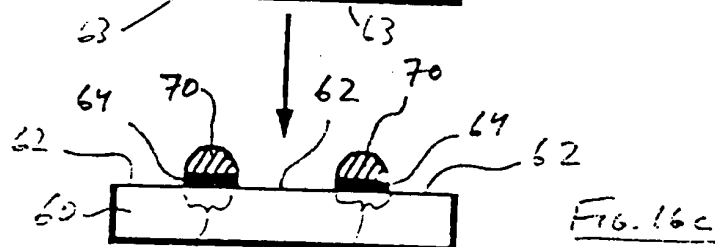
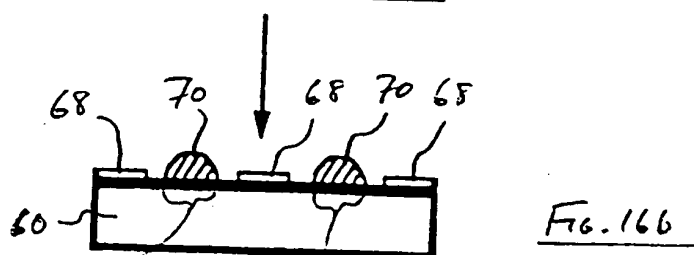
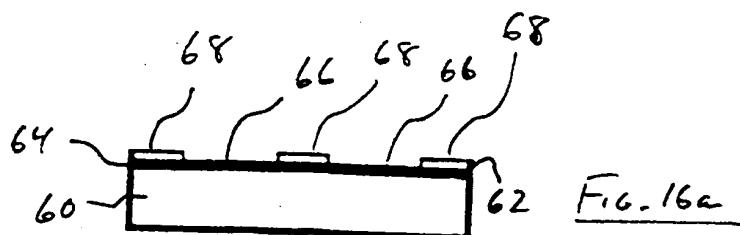


FIG. 13







**CORRECTED
VERSION***

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : G03F 7/00, 7/16, G02B 1/06, B29D 11/00	A3	(11) International Publication Number: WO 96/29629 (43) International Publication Date: 26 September 1996 (26.09.96)
(21) International Application Number: PCT/US96/03073 (22) International Filing Date: 1 March 1996 (01.03.96) (30) Priority Data: 08/397,635 1 March 1995 (01.03.95) US 08/485,457 7 June 1995 (07.06.95) US (71) Applicant: PRESIDENT AND FELLOWS OF HARVARD COLLEGE [US/US]; 124 Mount Auburn Street, Cambridge, MA 02138 (US).	(72) Inventors: WHITESIDES, George, M.; 124 Grasmere Street, Newton, MA 02158 (US). XIA, Younan; 29 Greene Street #2, Somerville, MA 02143 (US). WILBUR, James, L.; 382 Massachusetts Avenue #601, Arlington, MA 02174 (US). JACKMAN, Rebecca, J.; 49 Harrison Street #1, Somerville, MA 02143 (US). KIM, Enoch; 321 Dartmouth Street, Boston, MA 02116 (US). PRENTISS, Mara, G.; Apartment 2, 1000 Memorial Drive, Cambridge, MA 02138 (US). MRKSICH, Milan; 325 Franklin Street #201, Cambridge, MA 02138 (US). KUMAR, Amit; 353 Silverlake Court, MilPitas, CA 95035 (US). GORMAN, Chris; 5104 Laurie Drive, Raleigh, NC 27606 (US). BIEBUYCK, Hans; Mythenstrasse 8, CH-8800 Thalwil (CH). BERGGREN, Karl, K.; Apartment #2, 34 Properzi Way, Somerville, MA 02143 (US). (74) Agent: OYER, Timothy, J.; Wolf, Greenfield & Sacks, P.C., 600 Atlantic Avenue, Boston, MA 02210 (US). (81) Designated States: European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i> (88) Date of publication of the international search report: 17 April 1997 (17.04.97)	
(54) Title: MICROCONTACT PRINTING ON SURFACES AND DERIVATIVE ARTICLES (57) Abstract Improved methods of forming a patterned self-assembled monolayer on a surface and derivative articles are provided. According to one method, an elastomeric stamp is deformed during and/or prior to using the stamp to print a self-assembled molecular monolayer on a surface. According to another method, during monolayer printing the surface is contacted with a liquid that is immiscible with the molecular monolayer-forming species to effect controlled reactive spreading of the monolayer on the surface. Methods of printing self-assembled molecular monolayers on nonplanar surfaces and derivative articles are provided, as are methods of etching surfaces patterned with self-assembled monolayers, including methods of etching silicon. Optical elements including flexible diffraction gratings, mirrors, and lenses are provided, as are methods for forming optical devices and other articles using lithographic molding. A method for controlling the shape of a liquid on the surface of an article is provided, involving applying the liquid to a self-assembled monolayer on the surface, and controlling the electrical potential of the surface.		

* (Referred to in PCT Gazette No. 36/1996, Section II)

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 96/03073

A. CLASSIFICATION OF SUBJECT MATTER
IPC 6 G03F7/00 G03F7/16 G02B1/06 B29D11/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 6 G03F G02B B29D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	JOURNAL OF THE ELECTROCHEMICAL SOCIETY, vol. 142, no. 2, February 1995, pages 628-633, XP002015603 KIM E ET AL: "COMBINING PATTERNED SELF-ASSEMBLED MONOLAYERS OF ALKANETHIOLOTES ON GOLD WITH ANISOTROPIC ETCHING OF SILICON TO GENERATE CONTROLLED SURFACE MORPHOLOGIES" see the whole document ---	1-11, 21-26, 62-64
X	US 4 528 260 A (KANE JAMES) 9 July 1985 see the whole document ---	34-40
X	US 3 497 377 A (ALLINGHAM WILLIAM D) 24 February 1970 see the whole document ---	56
A	---	49,50
	-/--	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- *&* document member of the same patent family

Date of the actual completion of the international search

21 February 1997

Date of mailing of the international search report

12. 03. 97

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+ 31-70) 340-3016

Authorized officer

Haenisch, U

INTERNATIONAL SEARCH REPORT

Internation Application No
PCT/US 96/03073

C(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 018 829 A (OGAWA KAZUFUMI) 28 May 1991 see the whole document ---	65
P,X	WO 95 12480 A (MASSACHUSETTS INST TECHNOLOGY) 11 May 1995 see the whole document ---	57-59
X	PATENT ABSTRACTS OF JAPAN vol. 014, no. 504 (P-1127), 5 November 1990 & JP 02 210302 A (HAMAMATSU PHOTONICS KK), 21 August 1990, see abstract ---	56
X	PATENT ABSTRACTS OF JAPAN vol. 014, no. 376 (P-1092), 14 August 1990 & JP 02 140702 A (FUJITSU LTD), 30 May 1990, see abstract ---	56
A	PATENT ABSTRACTS OF JAPAN vol. 014, no. 420 (M-1023), 11 September 1990 & JP 02 165933 A (SEIKO EPSON CORP), 26 June 1990, see abstract -----	57-59

Form PCT/ISA/210 (continuation of second sheet) (July 1992)

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 96/03073

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. Claims : 1-43,60-65 methods for applying self-assembled monolayers patterns and their use in various etching, patterning and shaping process.
2. Claims : 44-59 optical elements having at least one reflecting surface characterized in that a liquid metal is used as reflecting material (optionally encapsulated in an elastomeric matrix)

1. ☒ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

☐ The additional search fees were accompanied by the applicant's protest.

☒ No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

Information on patent family members

Internat. Application No
PCT/US 96/03073

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US-A-4528260	09-07-85	NONE	
US-A-3497377	24-02-70	NONE	
US-A-5018829	28-05-91	JP-C- 1758021	20-05-93
		JP-B- 4044722	22-07-92
		JP-A- 61121016	09-06-86
		US-A- 4875759	24-10-89
WO-A-9512480	11-05-95	US-A- 5435887	25-07-95

dioxide mask 65. That is, portions of silicon not protected by mask 65 were anisotropically etched (Fig. 16d). The result is an etched surface of silicon, as represented by the photocopy of the SEM image in Fig. 16e.

Those skilled in the art would readily appreciate that all parameters listed herein
5 are meant to be exemplary and actual parameters will depend upon the specific application for which the methods and apparatus of the present invention are being used. It is, therefore, to be understood that the foregoing embodiments are presented by way of example only and that, within the scope of the appended claims and equivalents thereto, the invention may be practiced otherwise than as specifically described.

10

What is claimed is:

CLAIMS

1. A method of etching an article having a surface that is coated with a thin layer of resist having a surface, comprising:
- contacting a first portion of the resist surface with a stamp to transfer to
- 5 the first portion a self-assembled monolayer of a molecular species in a first pattern, the self-assembled monolayer being contiguous with an exposed portion of the resist surface in a second pattern;
- removing from the surface of the article the resist in the second pattern by contacting the exposed portion of the resist surface with a first etchant that reacts
- 10 chemically with the resist and that is inert with respect to the self-assembled monolayer, thereby exposing the surface of the article in the second pattern;
- applying to the exposed surface of the article a second etchant that reacts chemically with the article and that is inert with respect to the resist.
- 15 2. A method as in claim 1, wherein the resist is an electrical conductor.
3. A method as in claim 1, wherein the resist is a metal oxide.
4. A method as in claim 1, wherein the resist is an oxide of the article.
- 20 5. A method as in claim 1, wherein the article is a semiconductor.
6. A method as in claim 1, wherein the resist is silicon dioxide and the article is silicon.
- 25 7. A method as in claim 1, wherein the stamp has a surface including at least one indentation formed therein, the indentation contiguous with a stamping surface defining a stamping pattern.
- 30 8. A method as in claim 1, wherein the surface of the article is nonplanar.
9. A method as in claim 1, wherein the self-assembled monolayer exposes a

chemical functionality in the first pattern. the method further comprising:

prior to the removing step, coating the molecular species with a protecting species that is compatible with the chemical functionality and incompatible with the first etchant.

5

10. A method as in claim 1, wherein the stamp is deformed prior to and/or during the contacting step.

11. A method of etching an article having a surface, comprising:

10 contacting a first portion of the surface with a stamp to transfer to the first portion a self-assembled monolayer of a molecular species in a first pattern, the self-assembled monolayer being contiguous with a second, exposed portion of the surface in a second pattern and exposing a chemical functionality;

15 applying to the exposed portion of the surface a protecting species that is compatible with the chemical functionality; and

exposing the surface to an etchant that reacts chemically with the surface but that is incompatible with the protecting species.

12. A method of applying to a surface of an article a self-assembled monolayer of a molecular species, comprising:

20 coating a portion of a stamping surface of a stamp with a self-assembled monolayer-forming molecular species; and

transferring from the stamping surface to a first portion of the article surface the molecular species, while applying to a second portion of the article surface contiguous with the first portion a species that is not compatible with the molecular species.

13. A method as in claim 12, further comprising allowing the molecular species to spread evenly from the first portion of the article surface to the second portion of the article surface.

30

14. A method as in claim 12, the transferring step comprising contacting the

first portion of the article surface with at least a portion of the stamping surface for a period of time sufficient to allow the molecular species to spread onto the second portion of the article surface.

- 5 15. A method as in claim 12, wherein the stamp has a surface including at least one indentation formed therein, the indentation contiguous with the stamping surface defining a stamping pattern.
- 10 16. A method as in claim 12, wherein the first portion of the article surface comprises at least two isolated regions separated by the second portion, and the method comprises transferring the molecular species from the stamping surface to the at least two isolated regions of the first portion while applying to a second portion the species that is not compatible with the molecular species, and allowing the molecular species to spread from each of the at least two isolated regions of the first portion toward each
15 other.
17. A method as in claim 12, wherein the molecular species is lipophilic and the species that is not compatible with the molecular species is water.
- 20 18. A method as in claim 12, wherein article surface is nonplanar.
19. A method as in claim 12, wherein the stamp is deformed prior to and/or during the transferring step.
- 25 20. A method comprising:
applying to a surface of an article a first region and a second region of a self-assembled monolayer, the first and the second regions separated from each other by an intervening region contiguous with the first and second regions;
applying to the intervening region a species that is not compatible with
30 the molecular species; and
allowing the molecular species to spread evenly from the first region toward the second region and from the second region toward the first region.

21. A device comprising:
an article defining a surface;
an isolated region of a self-assembled monolayer of a molecular species
on the surface, the isolated region including a lateral dimension of less than 10 microns.
- 5
22. A device as in claim 21, wherein the isolated region includes a lateral
dimension of less than 5 microns.
23. A device as in claim 21, wherein the isolated region includes a lateral
10 dimension of less than 2 microns.
24. A device as in claim 21, wherein the isolated region includes a lateral
dimension of less than 0.5 micron.
- 15
25. A device as in claim 21, wherein the isolated region includes a lateral
dimension of less than 0.1 micron.
26. A device as in claim 21, wherein the surface is nonplanar.
- 20
27. A method of applying to a surface of an article a self-assembled monolayer
of a molecular species, comprising:
coating a portion of a stamping surface of a flexible stamp with a
self-assembled monolayer-forming molecular species;
deforming the stamp; and
25 contacting at least a portion of the surface with at least a portion of the
stamping surface.
28. A method as in claim 27, wherein the deforming step comprises
compressing the stamp approximately in a plane parallel to the stamping surface.
- 30
29. A method as in claim 27, wherein the deforming step comprises applying to
the stamp a force approximately perpendicular to the stamping surface.

30. A method as in claim 27, wherein the stamp has a surface including at least one indentation formed therein, the indentation contiguous with the stamping surface defining a stamping pattern.

5 31. A method as in claim 27, wherein the contacting step comprises transferring from the stamping surface to a first portion of the article surface the molecular species, while applying to a second portion of the article surface contiguous with the first portion a species that is not compatible with the molecular species.

10 32. A method as in claim 31, further comprising allowing the molecular species to spread from the first portion to the second portion.

33. A method as in claim 27, wherein the article surface is nonplanar.

15 34. A method of making an article, comprising:
etching a pattern into a surface of a template;
molding the article on the surface of the template.

20 35. A method as in claim 34, wherein the molding step comprises applying to the template a hardenable fluid and allowing the fluid to harden.

36. A method as in claim 35, wherein the hardenable fluid is a prepolymeric fluid and the allowing step comprises polymerizing the fluid.

25 37. A method as in claim 34, wherein the template surface is nonplanar.

38. A method as in claim 34, wherein the article is an elastomer.

30 39. A method as in claim 34, wherein the etching step comprises anisotropically etching a pattern into the surface of the template.

40. A method of making an article, comprising:

providing a template having a surface anisotropically etched in a pattern:
applying a hardenable fluid to the surface: and
allowing the fluid to harden.

5 41. A method of patterning a self-assembled monolayer on a nonplanar surface
of an article, comprising:

 rolling the nonplanar surface of the article over a stamping surface of a
stamp carrying a self-assembled monolayer-forming molecular species, thereby
transferring to the nonplanar surface a self-assembled monolayer of the molecular
10 species.

 42. A method as in claim 41, wherein the stamp has a surface including at least
one indentation formed therein, the indentation contiguous with a stamping surface
defining a stamping pattern.

15

 43. A method as in claim 41, wherein the rolling step comprises applying to the
nonplanar surface the self-assembled monolayer in a pattern, while leaving portions of
the surface contiguous with the self-assembled monolayer exposed, the method further
comprising etching the exposed portions of the surface.

20

 44. A diffraction grating comprising:

 a liquid metal having a surface that is in contact with and correlates to a
surface of an article, which article surface correlates to an diffraction grating, the liquid
metal surface being formed thereby into a diffraction grating.

25

 45. A diffraction grating as in claim 44, wherein the article is transparent and
flexible.

 46. A diffraction grating as in claim 44, wherein the article is transparent and
30 elastomeric.

 47. A diffraction grating as in claim 44, wherein the article is elastomeric.

48. A diffraction grating as in claim 44, wherein the liquid metal is encapsulated in the article.

49. An optical element comprising:
5 an elastomer including a void having an optical convex or concave surface; and
a liquid metal adjacent the convex or concave surface of the void.

50. An optical element as in claim 49, wherein the liquid metal fills the void and
10 is encapsulated by the elastomer.

51. A method of diffracting electromagnetic radiation, comprising:
directing electromagnetic radiation at a liquid metal having a surface that
is a diffraction grating to cause diffraction of the electromagnetic radiation; and
15 allowing diffracted electromagnetic radiation to reflect from the surface.

52. A method as recited in claim 51, further comprising deforming the surface
of the liquid metal that is a diffraction grating to adjust the pattern of diffraction of the
electromagnetic radiation.
20

53. A method as recited in claim 52, wherein the deforming step comprising
elongating the surface of the liquid metal that is a diffraction grating.

54. A method as recited in claim 52, wherein the deforming step comprising
25 bending the surface of the liquid metal that is a diffraction grating.

55. A method as recited in claim 52, wherein the deforming step comprising
compressing the surface of the liquid metal that is a diffraction grating.

56. A method of focusing electromagnetic radiation, comprising:
30 directing electromagnetic radiation at a liquid metal having a concave surface; and

allowing the electromagnetic radiation to reflect from the surface.

57. A method of making a lens, comprising:

providing a hardenable fluid precursor of the lens:

5 contacting a surface of the fluid precursor with a liquid that is
incompatible with the fluid precursor; and

allowing the fluid precursor to harden to form a lens.

58. A method as recited in claim 57, wherein the precursor is a prepolymeric
10 fluid, and the allowing step comprises polymerizing the precursor.

59. A method as recited in claim 57, wherein the precursor is a precursor of an
elastomer.

15 60. A method of making an article, comprising:

providing a supporting surface having, on a discrete isolated region, a
self-assembled monolayer of a molecular species:

applying to the self-assembled monolayer a fluid precursor of the article,
the precursor having a surface including a first region in contact with the self-assembled
20 monolayer and a second, exposed region contiguous with the first region:

contacting the exposed region of the precursor surface with a fluid that is
incompatible with the precursor fluid; and
allowing the precursor to harden.

25 61. A method of controlling the shape of a liquid comprising:

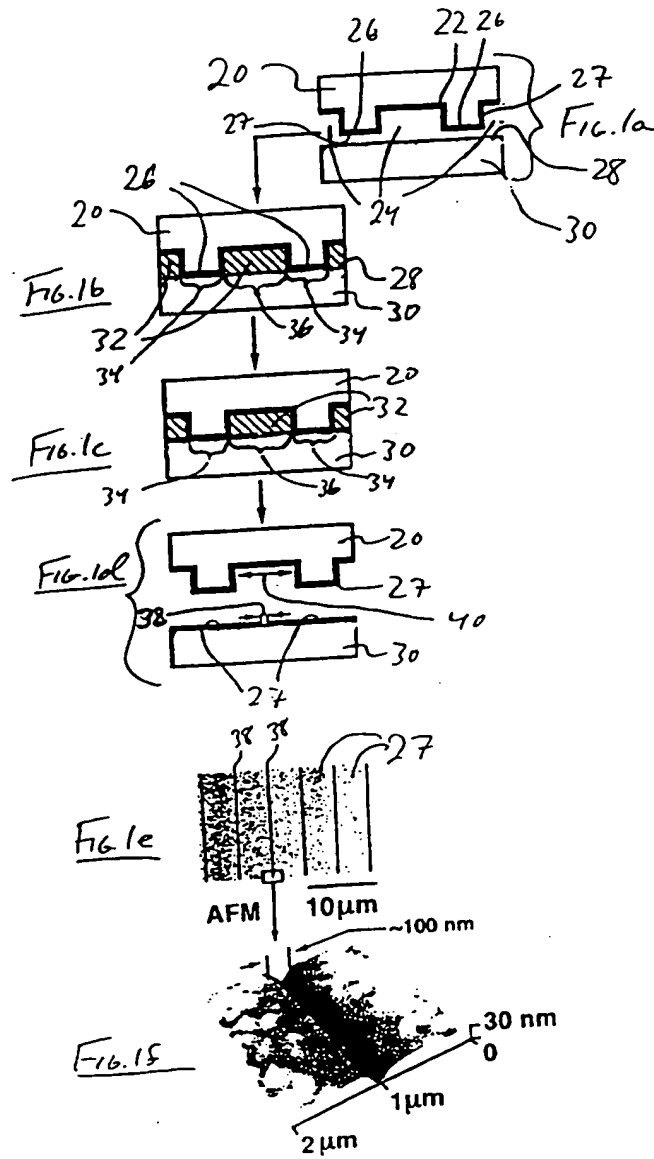
providing a supporting, electrically-conductive surface having, on a
discrete isolated region, a self-assembled monolayer of a molecular species exposing a
chemical functionality that is compatible with the liquid:

positioning the liquid on the self-assembled monolayer of the molecular
30 species:

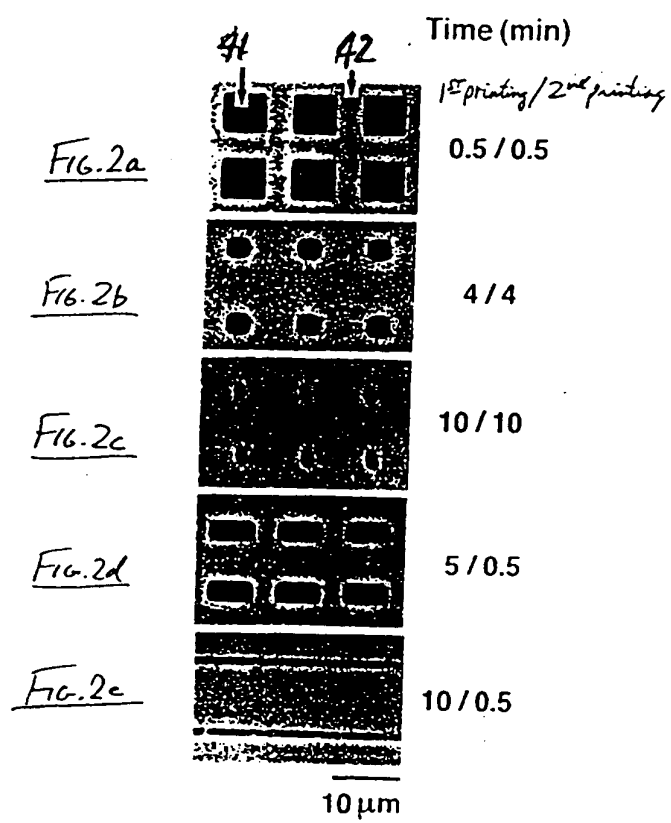
surrounding the liquid with a fluid electrolyte that is not compatible with
the liquid; and

adjusting an electrical potential of the electrically-conductive surface to control the shape of the liquid.

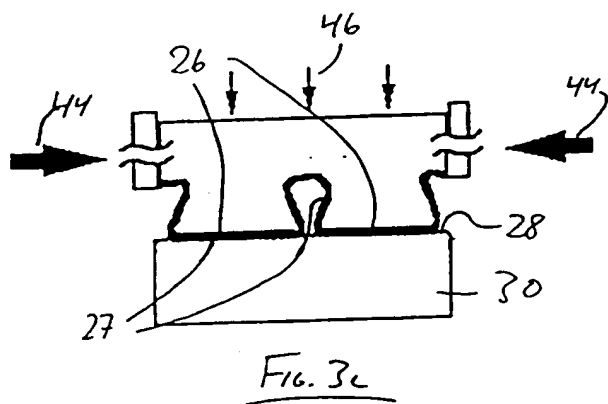
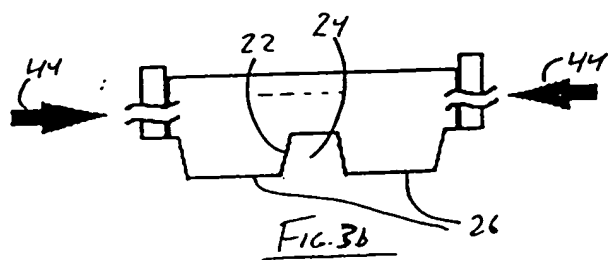
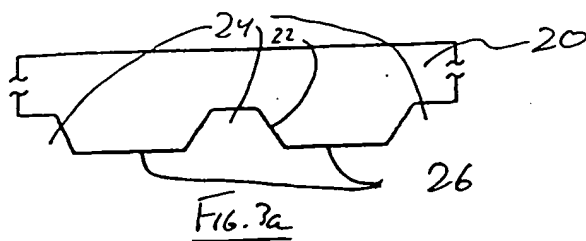
62. A method comprising:
- 5 writing on a surface of an article simultaneously at least two separate lines of a self-assembled monolayer-forming species.
63. A method as in claim 11 wherein the surface is a surface of a second resist on a base article, the method further comprising exposing the surface to a second
- 10 etchant that does not etch the second resist but that etches the article thereby etching the article at the first portion in a pattern in which the self-assembled monolayer had been applied.
64. A method as in claim 63, wherein the article is silicon and the second resist
- 15 is a layer of silicon dioxide on the silicon.
65. An optical fiber having a surface on which is a self-assembled monolayer.

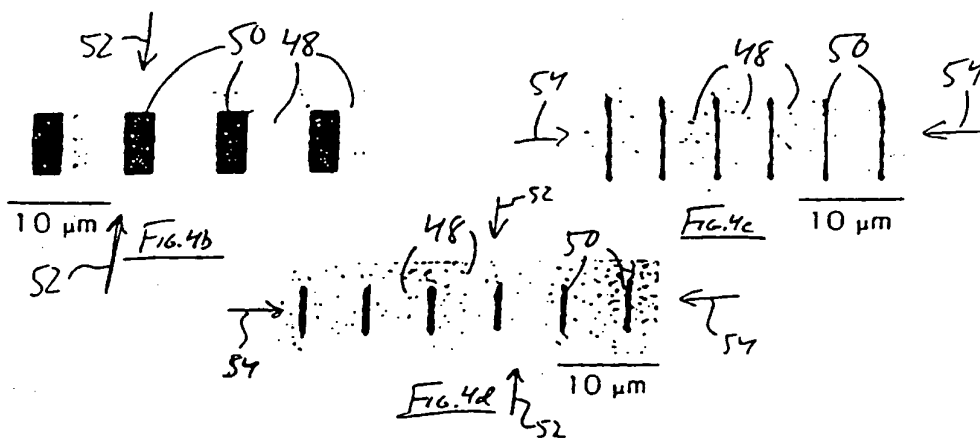
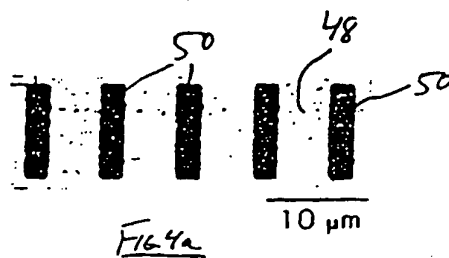


2/16



3/16





5/16

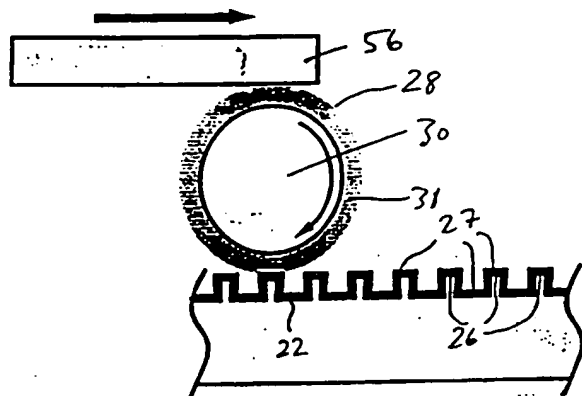


FIG. 5a

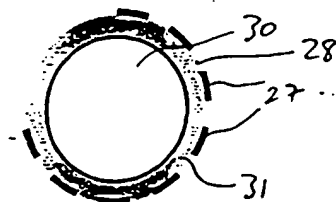


FIG. 5b

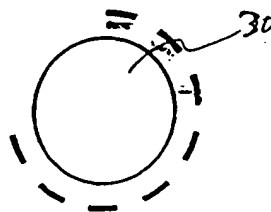


FIG. 5c

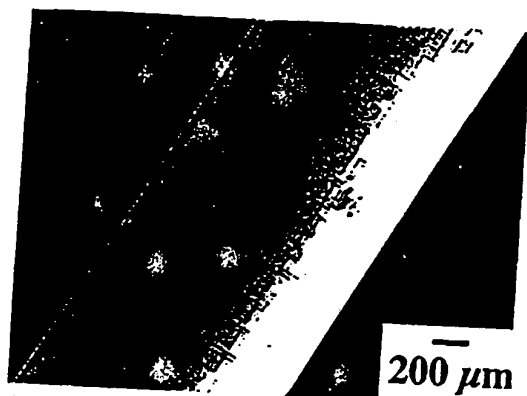


FIG. 6a

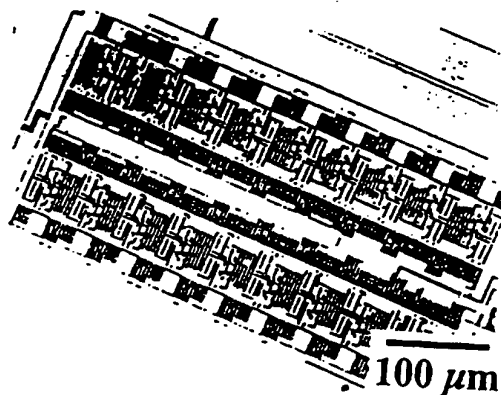


FIG. 6b

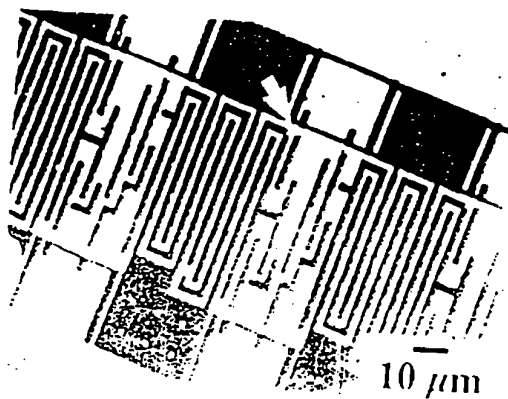


FIG. 6c

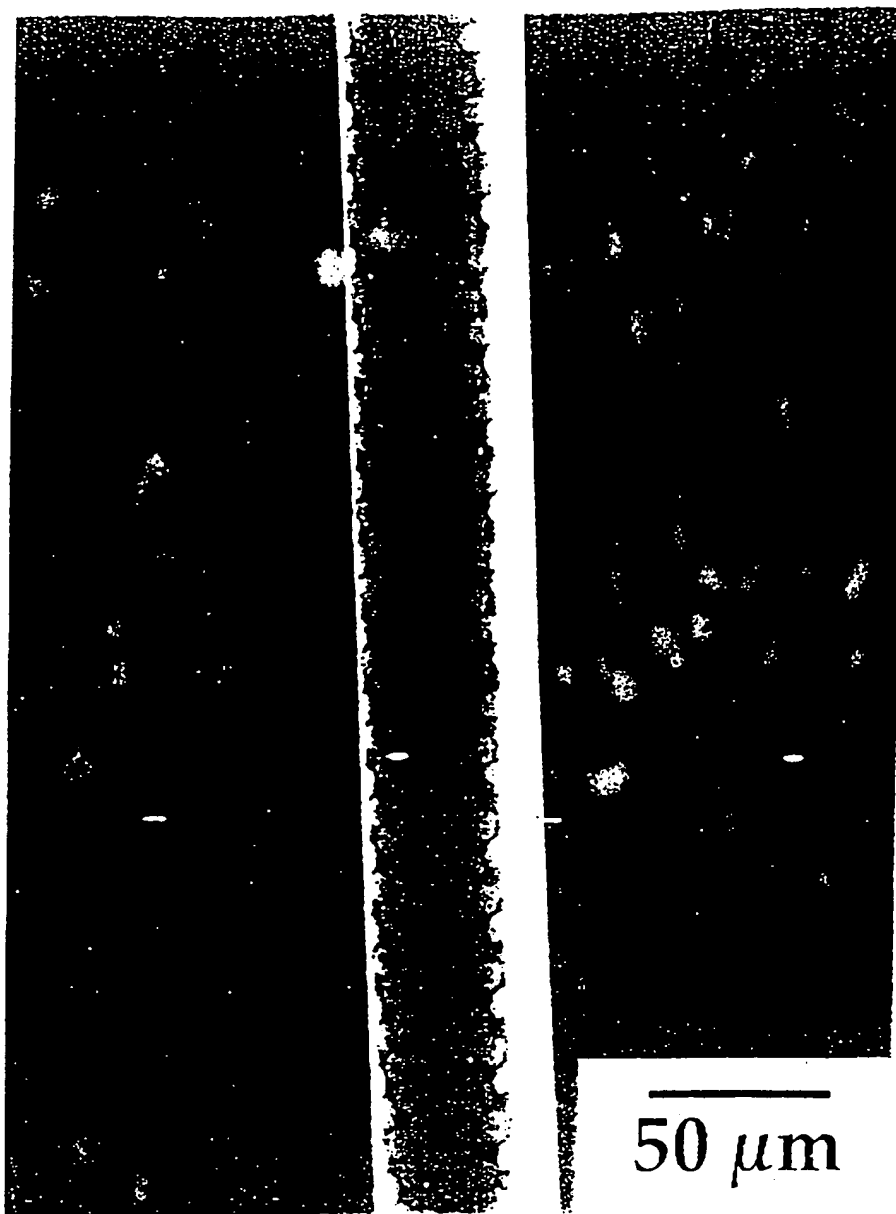
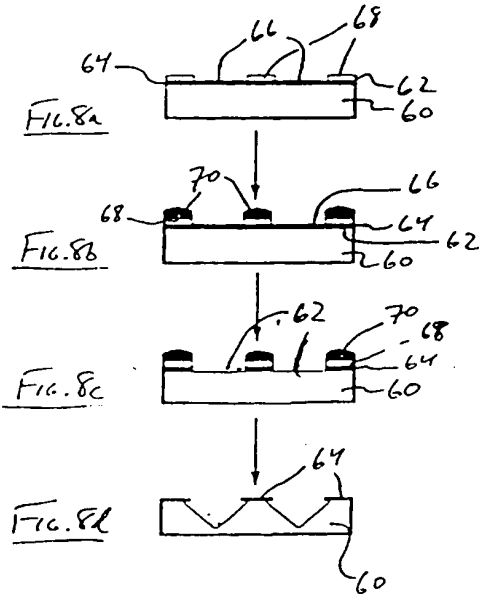
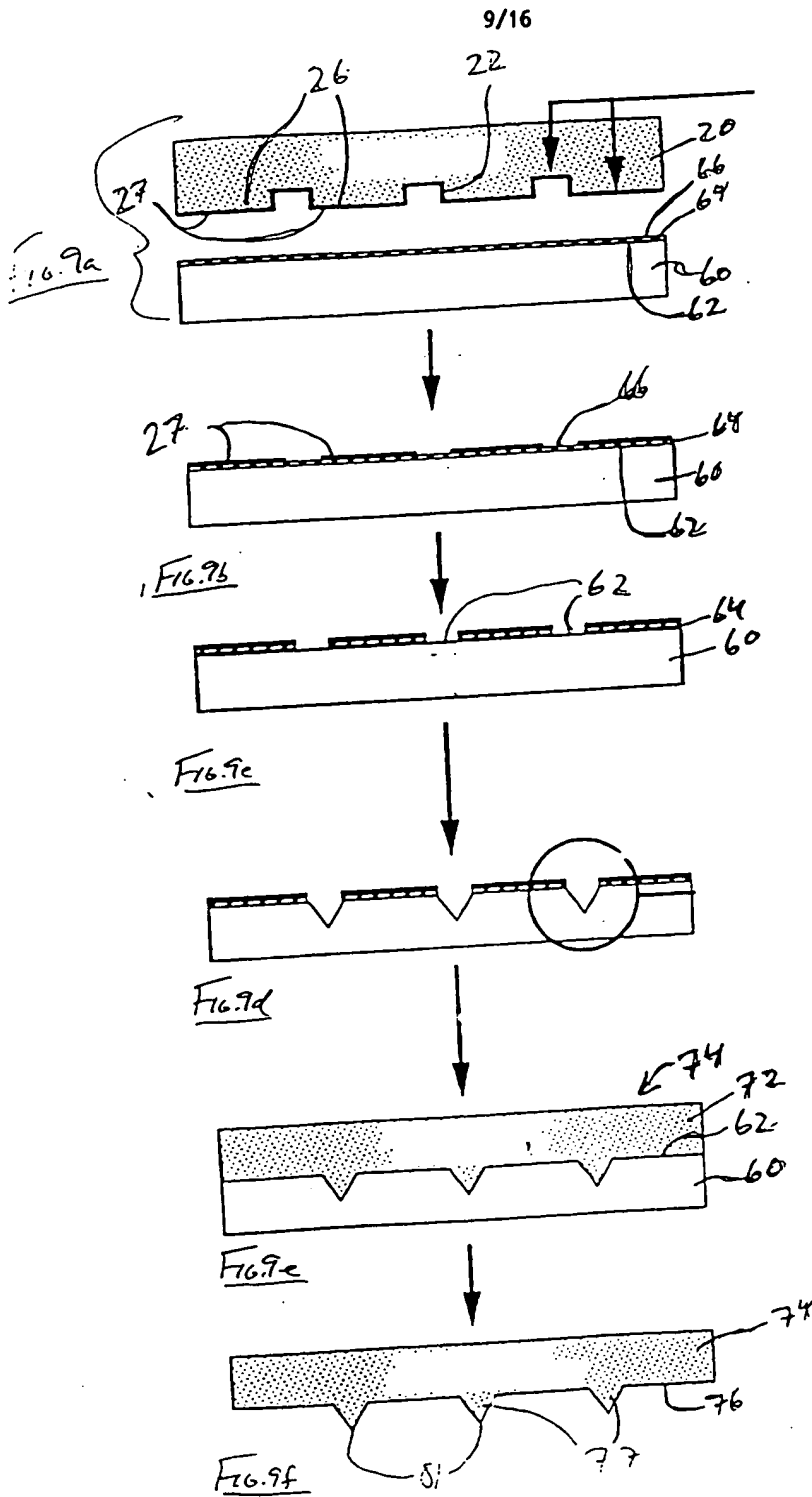


Fig. 7



9/16



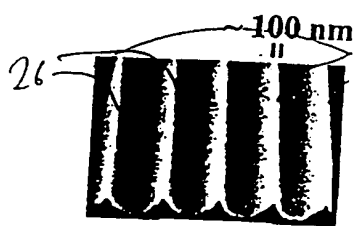
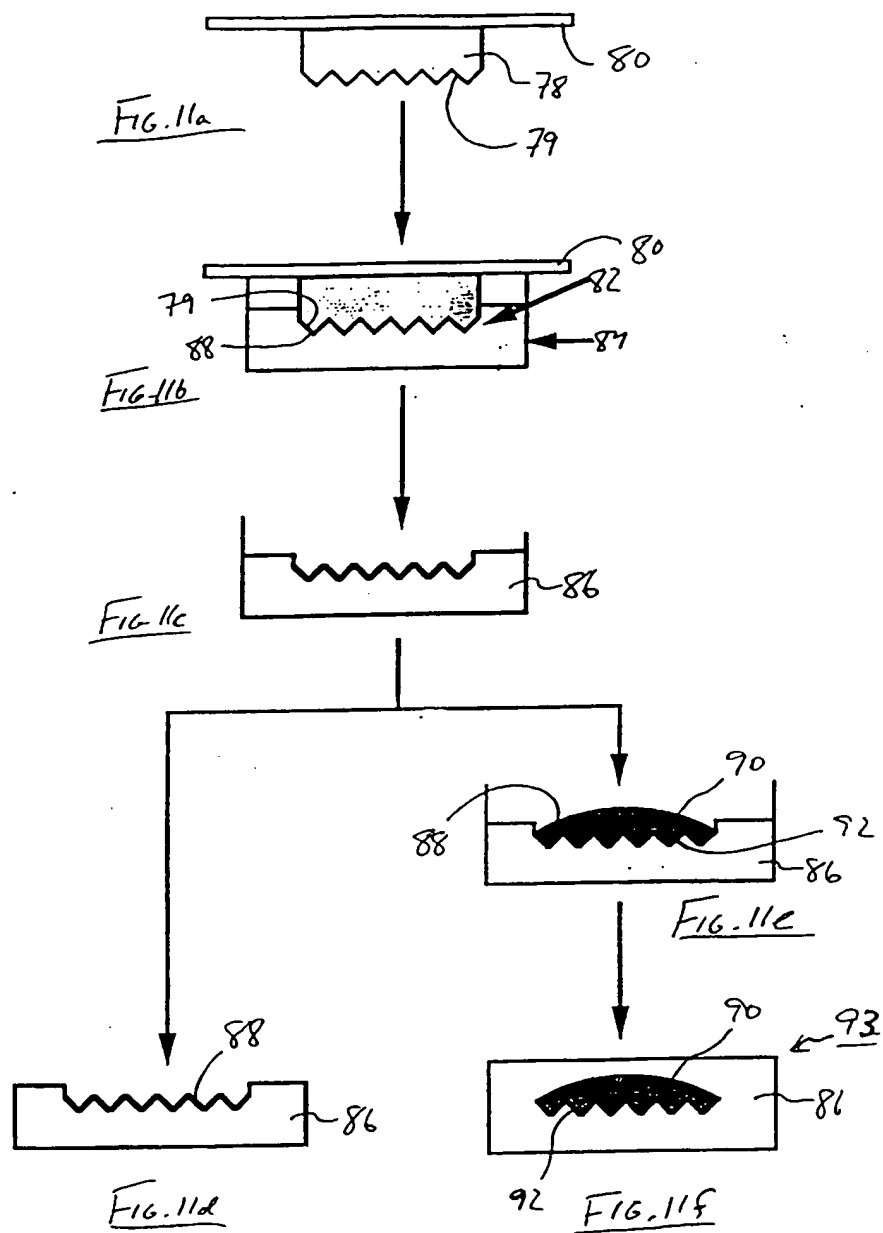


FIG. 10

11/16



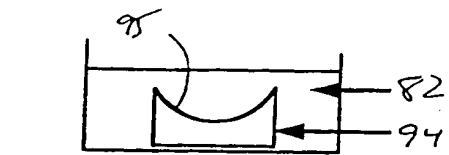


FIG. 12a

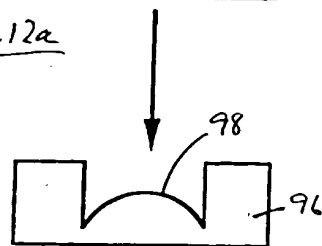


FIG. 12b

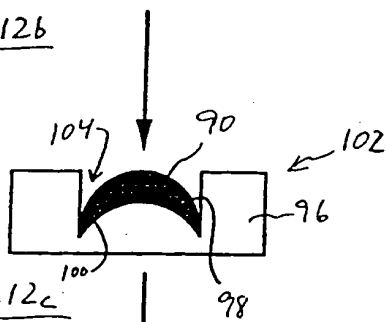


FIG. 12c

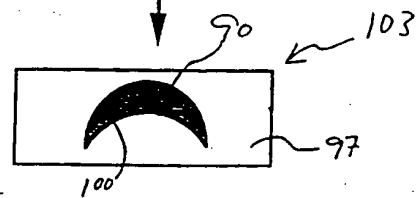


FIG. 12d

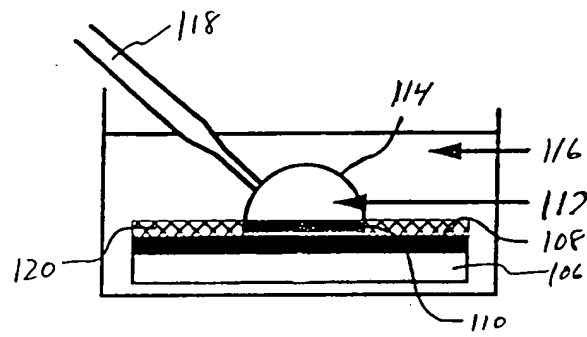
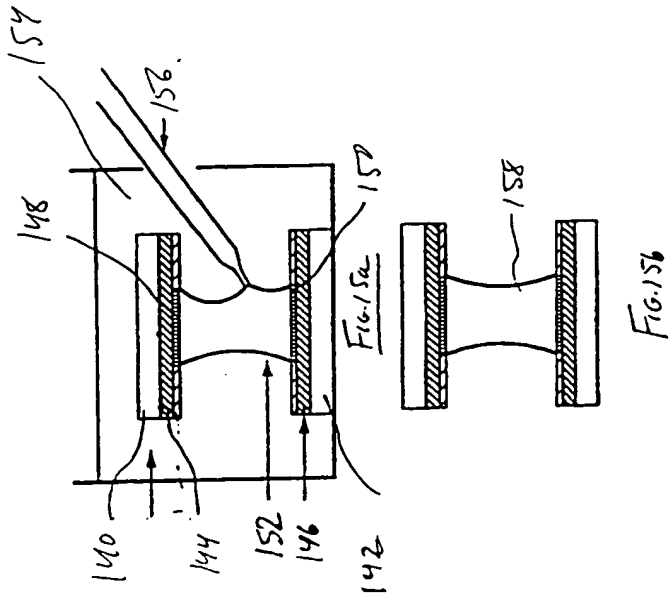
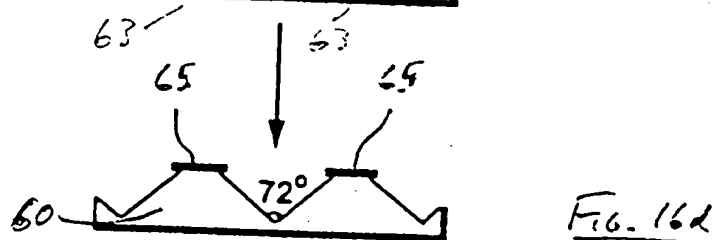
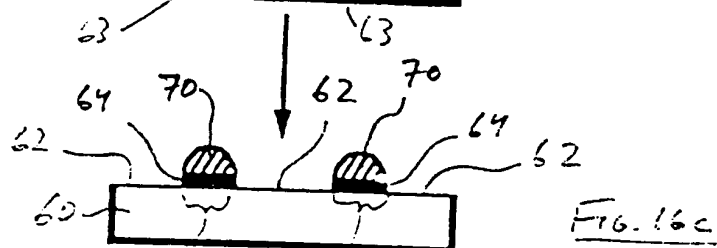
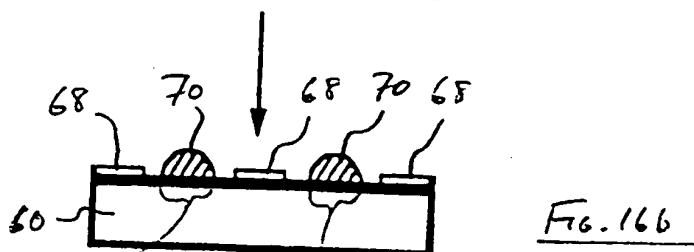
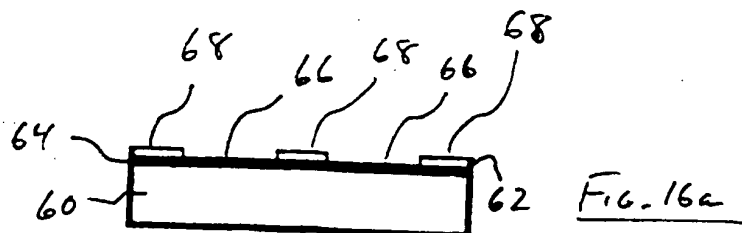


FIG. 13





**CORRECTED
VERSION***

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : G03F 7/00, 7/16, G02B 1/06, B29D 11/00		A3	(11) International Publication Number: WO 96/29629
			(43) International Publication Date: 26 September 1996 (26.09.96)
(21) International Application Number: PCT/US96/03073		(72) Inventors: WHITESIDES, George, M.; 124 Grasmere Street, Newton, MA 02158 (US). XIA, Younan; 29 Greene Street #2, Somerville, MA 02143 (US). WILBUR, James, L.; 382 Massachusetts Avenue #601, Arlington, MA 02174 (US). JACKMAN, Rebecca, J.; 49 Harrison Street #1, Somerville, MA 02143 (US). KIM, Enoch; 321 Dartmouth Street, Boston, MA 02116 (US). PRENTISS, Mara, G.; Apartment 2, 1000 Memorial Drive, Cambridge, MA 02138 (US). MRKSICH, Milan; 325 Franklin Street #201, Cambridge, MA 02138 (US). KUMAR, Amit; 353 Silverlake Court, MilPitas, CA 95035 (US). GORMAN, Chris; 5104 Laurie Drive, Raleigh, NC 27606 (US). BIEBUYCK, Hans; Mythenstrasse 8, CH-8800 Thalwil (CH). BERGGREN, Karl, K.; Apartment #2, 34 Properzi Way, Somerville, MA 02143 (US).	
(22) International Filing Date: 1 March 1996 (01.03.96)		(74) Agent: OYER, Timothy, J.; Wolf, Greenfield & Sacks, P.C., 600 Atlantic Avenue, Boston, MA 02210 (US).	
(30) Priority Data: 08/397,635 1 March 1995 (01.03.95) US 08/485,457 7 June 1995 (07.06.95) US		(81) Designated States: European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).	
(71) Applicant: PRESIDENT AND FELLOWS OF HARVARD COLLEGE [US/US]; 124 Mount Auburn Street, Cambridge, MA 02138 (US).		Published <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>	
		(88) Date of publication of the international search report: 17 April 1997 (17.04.97)	
(54) Title: MICROCONTACT PRINTING ON SURFACES AND DERIVATIVE ARTICLES			
(57) Abstract <p>Improved methods of forming a patterned self-assembled monolayer on a surface and derivative articles are provided. According to one method, an elastomeric stamp is deformed during and/or prior to using the stamp to print a self-assembled molecular monolayer on a surface. According to another method, during monolayer printing the surface is contacted with a liquid that is immiscible with the molecular monolayer-forming species to effect controlled reactive spreading of the monolayer on the surface. Methods of printing self-assembled molecular monolayers on nonplanar surfaces and derivative articles are provided, as are methods of etching surfaces patterned with self-assembled monolayers, including methods of etching silicon. Optical elements including flexible diffraction gratings, mirrors, and lenses are provided, as are methods for forming optical devices and other articles using lithographic molding. A method for controlling the shape of a liquid on the surface of an article is provided, involving applying the liquid to a self-assembled monolayer on the surface, and controlling the electrical potential of the surface.</p>			

* (Referred to in PCT Gazette No. 56/1996, Section II)

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

INTERNATIONAL SEARCH REPORT

Internation Application No
PCT/US 96/03073

A. CLASSIFICATION OF SUBJECT MATTER
IPC 6 G03F7/00 G03F7/16 G02B1/06 B29D11/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 6 G03F G02B B29D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	JOURNAL OF THE ELECTROCHEMICAL SOCIETY, vol. 142, no. 2, February 1995, pages 628-633, XP002015603 KIM E ET AL: "COMBINING PATTERNED SELF-ASSEMBLED MONOLAYERS OF ALKANETHIOLOTES ON GOLD WITH ANISOTROPIC ETCHING OF SILICON TO GENERATE CONTROLLED SURFACE MORPHOLOGIES" see the whole document	1-11, 21-26, 62-64
X	US 4 528 260 A (KANE JAMES) 9 July 1985 see the whole document	34-40
X	US 3 497 377 A (ALLINGHAM WILLIAM D) 24 February 1970 see the whole document	56
A	---	49,50

	-/--	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- *A* document member of the same patent family

Date of the actual completion of the international search

21 February 1997

Date of mailing of the international search report

12. 03. 97

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl,
Fax (+ 31-70) 340-3016

Authorized officer

Haenisch, U

INTERNATIONAL SEARCH REPORT

Internat Application No
PCT/US 96/03073

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 018 829 A (OGAWA KAZUFUMI) 28 May 1991 see the whole document	65
P,X	--- WO 95 12480 A (MASSACHUSETTS INST TECHNOLOGY) 11 May 1995 see the whole document	57-59
X	--- PATENT ABSTRACTS OF JAPAN vol. 014, no. 504 (P-1127), 5 November 1990 & JP 02 210302 A (HAMAMATSU PHOTONICS KK), 21 August 1990, see abstract	56
X	--- PATENT ABSTRACTS OF JAPAN vol. 014, no. 376 (P-1092), 14 August 1990 & JP 02 140702 A (FUJITSU LTD), 30 May 1990, see abstract	56
A	--- PATENT ABSTRACTS OF JAPAN vol. 014, no. 420 (M-1023), 11 September 1990 & JP 02 165933 A (SEIKO EPSON CORP), 26 June 1990, see abstract -----	57-59

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 96/03073

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. Claims : 1-43,60-65 methods for applying self-assembled monolayers patterns and their use in various etching, patterning and shaping process.
2. Claims : 44-59 optical elements having at least one reflecting surface characterized in that a liquid metal is used as reflecting material (optionally encapsulated in an elastomeric matrix)

1. ☒ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

☐ The additional search fees were accompanied by the applicant's protest.

☒ No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

Information on patent family members

Internat Application No
PCT/US 96/03073

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US-A-4528260	09-07-85	NONE	
US-A-3497377	24-02-70	NONE	
US-A-5018829	28-05-91	JP-C- 1758021	20-05-93
		JP-B- 4044722	22-07-92
		JP-A- 61121016	09-06-86
		US-A- 4875759	24-10-89
WO-A-9512480	11-05-95	US-A- 5435887	25-07-95